

Syllabus of BBA Banking and Financial Services (Effective from academic session 2021-22)

Semester-I

Nama of the O	Course Deshalars in	Panking and Financial Carriage				
	ourse: Bacnelors in luction to Financial M	Banking and Financial Services Markets				
Course Code:		Semester: 1				
Duration: 30		Maximum Marks: 100				
Teaching Sch	eme	Examination Scheme				
Theory: 5 hour		End Semester Exam: 70				
Tutorial: 1 hou		Teacher's Assessment: 10				
Practical:		Internal Assessment: 30				
Credit: 6		Practical Sessional internal continuous evaluation:				
		Practical Sessional external examin				
Aim:						
SI. No.						
1.	Build a foundational Markets	al understanding of the Functioning of t	he Financi	al		
2.	Establish a link bet	ween various components of the Finan	cial Marke	ts		
Objective:						
SI. No.						
1.		ng of the Financial Markets				
2.	State the Role of th	ne Financial Markets				
Pre-Requisite	•					
SI. No.						
1.	Basic knowledge of	f Economics				
Contents			Hrs./w	eek		
Chapter	Name of the Topic	•	Hour	Marks		
	Traine or the ropic	•	S	Muiks		
01	Describe Fi	nancial System Of the economy.	2	7		
Fundamental		nents of the Securities markets.	-			
s of Financial		ock Exchanges.				
Markets		Stock Exchanges.				
		cation of markets.				
		quity, Debt and Money Markets.				
02 Financial		nancial System Of the economy.	4	9		
Instruments		,	•	9		
and		nents of the Securities markets.				
Participants		ock Exchanges.				
1 ditioipanto		Stock Exchanges.				
	_	cation of Securities Markets.				
	Describe Ed	quity, Debt and Money Markets.				



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	Total:		100
	Internal Assessment Examination & Preparation of Semester Examination		30
	Sub Total:	30	70
08 Trading, Clearing and Settlement	 Describe Demat. Describe Depository System. Describe Trading on BSE. Define Screen Based Trading 	4	9
07 Demat and Online Trading	 Describe the Index Theory Describe the process of Stock Selection, Index Calculation & Maintenance Describe the Free-Float Concept List the Utility of Indices Explain the BSE Indices 	4	9
06 Market Indices	 Describe issue of securities Describe Process of IPO List Category of Investors Describe FPO Describe payment methodology in IPO Describe Book Building Process Describe basis of allotment 	4	9
05 Public Issues and Process	 Describe issue of securities. Describe Process of IPO. List Category of Investors. Describe FPO. Describe payment methodology in IPO. Describe Book Building Process. Describe basis of allotment. 	4	9
04 Regulators and Regulations	 Describe Regulators and their role. List Various Regulations of Securities Markets. 	4	9
03 Alternative Investment Schemes and other Investment Products	 Describe Mutual Funds. Describe Derivatives. Describe Commodity Markets. Describe Forex Markets. 	4	9

Practical:

Skills to be developed:

Intellectual skills:

- 1. Analytical skills. The ability to do quality market analysis is fundamental to success in trading. .
- 2. Research skills. ...
- 3. Record maintenance. ...



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Motor Skills:

- 1. Capacity to stay calm. ...
- 2. Patience and discipline

List of Practical: Sl. No. 1& 2 compulsory & at least three from the rest)

- 1. Analytical skills
- 2.Research skills
- 3.Record maintenance
- 4.Capacity to stay calm
- 5. Patience and discipline

List of Books Text Books:

part.

Name of A	Author	Title of the	Book	Edition/ISSN/ISBN Name of the Publisher		he	
Alan R. Ka	inuk	Capital Markets of 2007/ 978- India: An Investor's 0470137635 Guide			Wiley		
George So	oros	The Alcher Finance	ny of	13/978-0471445494 Wiley 15)		Wiley:edition (June 15)	
Reference	Books:						
Niti Bhasir		Financial Ir and Financ in India: Fu and Reforn	ial Markets nctioning	2010/	New Century Publications		•
End Seme		nation Sch	eme.	Maximum N	Marks-70.	Ti	me
Group	Unit	Objective Questions (MCQ only with the correct answer)			Subjective	Questions	
		No of question to be set	Total Marks	No of question to be set	To answer	Marks per question	Total Marks
	1,2,3, 4	10		3	2		52
A	1,2,3, 4		18			4	



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 Specific instruction to the students to maintain the order in answering objective questions should be given on top of the question paper.

Name of the C Subject: Econ					
Course Code:	BBABFS102-A	Semester: 1			
Duration:30		Maximum Marks: 100			
Teaching Sch	eme	Examination Scheme			
Theory: 5 hour	s per week	End Semester Exam: 70			
Tutorial: 1 hou		Teacher's Assessment: 10			
Practical:	·	Internal Assessment: 20			
Credit: 6		Practical Sessional internal continuo	us evalu	ation:	
		Practical Sessional external examina	ition:		
Aim:		1			
SI. No.					
1.	Build a foundationa	al understanding of economics for Capital	Markets		
2. Establish a link between various components of the Capita			Markets		
Objective					
Objective:					
1.	To gain an underet	anding of economic concepts for Capital	Markete		
1.	10 gain an undersi	anding of economic concepts for Capital	Markets		
Pre-Requisite					
SI. No.					
1.	Basic knowledge o	f Economics			
Contents			Hrs./we	ek	
Chapter	Name of the Topic	C	Hour s	Marks	
01 Introduction	 Basic tools- Incrementa Basic econe equations- Use of Mary basics of mequilibrium curves and 	•	6	14	
02 Demand Analysis	under differ	unction - nature of demand curve ent markets Meaning, significance, neasurement of elasticity of demand	6	14	



	Total:		100
	Internal Assessment Examination & Preparation of Semester Examination		30
	Sub Total:	30	70
05 Pricing Practices	 Cost oriented pricing methods: cost – plus (full cost) pricing, marginal cost pricing, Mark up pricing, discriminating pricing, multiple – product pricing - transfer pricing Case studies on how pricing methods are used in business world 	6	14
04 Market structure: Perfect competition and Monopoly and Pricing and Output Decisions under Imperfect Competition	 Short run and long run equilibrium of a competitive firm and of industry - monopoly - short run and long- run equilibrium of a firm under Monopoly Monopolistic competition: Equilibrium of a firm under monopolistic competition, debate over role of advertising (topics to be taught using case studies from real life examples) Oligopolistic markets: key attributes of oligopoly - Collusive and non-collusive oligopoly market - Price rigidity - Cartels and price leadership models (with practical examples) 	6	14
03 Supply and Production Decisions and Cost of Production	 Production function: short run analysis with Law of Variable Proportions- Production function with two variable inputs- isoquants, ridge lines and least cost combination of inputs- Long run production function and Laws of Returns to Scale - expansion path - Economies and diseconomies of Scale. Cost concepts: Accounting cost and economic cost, implicit and explicit cost, fixed and variable cost - total, average and marginal cost - Cost Output Relationship in the Short Run and Long Run (hypothetical numerical problems to be discussed), LAC and Learning curve - Break even analysis (with business applications) 	6	14
	 (Price, income cross and promotional)- relationship between elasticity of demand and revenue concepts Demand estimation and forecasting: Meaning and significance - methods of demand estimation: survey and statistical methods (numerical illustrations on trend analysis and simple linear regression) 		



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Intellectual skills:

- 1. Analytical skills. Economists must be able to review data, observe patterns, and draw logical conclusions. ...
- 2. Communication skills. Economists must be able to explain their work to others.

. . .

- 3. Critical-thinking skills. ...
- 4. Math skills. ...

Motor Skills:

- 1. Detail oriented.
- 2. Writing skills

List of Practical: Sl. No. 1& 2 compulsory & at least three from the rest)

- 1. Analytical skills. Economists must be able to review data, observe patterns, and draw logical conclusions. ...
- 2. Communication skills. Economists must be able to explain their work to others. ...
- 3. Critical-thinking skills. ...
- 4. Detail oriented. ...
- 5. Math skills. ...
- 6. Writing skills

Assignments:

List of Books Text Books:

Name of Author	Title of the Book	Edition/ISSN/ISBN	Name of the Publisher
Roy E. Bailey	The Economics of Financial Markets	2005/978- 0521612807	Cambridge University Press
Paul Heyne,Peter Boettke,David Prychitko	The Economic way of Thinking	978/0132991292	Pearson
Reference Books:	-1	1	
Milton Friedman	Money Mischief	1994/ 978-0156619301	Harcourt Publishers Group



List of equ	ipment/app	paratus for l	aboratory 6	experiment	s:		
SI. No.							
1.		NA					
2.							
3.							
4.							
5.							
End Seme	ster Examii	nation Sche	me.	Maximum N	/larks-70.	Ti	me
allotted-3h	ırs.						
Group	Unit	Objective	Questions		Subjective	Questions	
•		(MCQ only			•		
		correct ansv	wer)				
		No of	Total	No of	To answer	Marks per	Total
		question	Marks	question		question	Marks
		to be set		to be set			
Α	1,2,3, 4	10		3	2		52
			18			4	
В	4,5, 6, 7,	10		4	3		
	8						
В		10		4	3		
	•						

- Only multiple choice type question (MCQ) with one correct answer are to be set in the objective part.
- Specific instruction to the students to maintain the order in answering objective questions should be given on top of the question paper.



Subject: Acco	unting	n Banking and Financial Services				
	BBABFS102-B	Semester: 1				
Duration: 30		Maximum Marks: 100				
Teaching Sch	eme	Examination Scheme				
Theory: 5 hou	rs per week	End Semester Exam: 70				
Tutorial: 1 hou						
Practical:	·	Internal Assessment: 20				
Credit: 6		Practical Sessional internal continu	ous eval	uation:		
		Practical Sessional external examin	ation:			
Aim:						
SI. No.						
1.	Build a foundation	to understand the various concepts of F	inancial A	ccounting		
2.				Standards		
Objective:						
	To articulate the fiv	agnoial concents of accounting in compa	nioo			
1.		nancial concepts of accounting in compa derstanding of Financial Accounting with		- f		
2.	studies	derstanding of Financial Accounting with	i tile lielp	oi case		
Pre-Requisite	<u> </u> :					
SI. No.	NΙΔ					
1.	NA					
Contents			Hrs./w	eek		
Chapter	Name of the Topi	c	Hour s	Marks		
01	Introduction	n to concepts of Accounting	2	6		
Introduction		nd necessity of Accounting				
to Accounting		ew of Income Statement and Balance				
02	Introducing	the meaning of GAAP				
Introduction		of Accounting				
and Meaning	Impact of A					
of GAAP		on Income Statement and Balance				
	Sheet.					



03 Accounting Mechanics	 Understanding of Accounting Mechanics Process leading to preparation of Trial Balance and Financial Statements 		
04 Preparation of Financial Statements with Adjustment Entries.	Understanding the Preparation of Financial Statements with Adjustment Entries.	2	6
05 Revenue Recognition and Measurement	 Describing Revenue Recognition and Measurement Capital and Revenue Items Treatment of R & D Expenses Preproduction Cost Deferred Revenue Expenditure etc. 	2	6
06 Fixed Assets and Depreciation Accounting	 Describing Fixed Assets and Depreciation Accounting Evaluation and Accounting of Inventory 	2	6
O7 Preparation and Complete Understandin g of Corporate Financial Statements	 Preparation and Complete Understanding of Corporate Financial Statements 'T' Form and Vertical Form of Financial Statements. 	2	6
08 Important Accounting Standards	 Corporate Financial Reporting – Analysis of Interpretation thereof with reference to Ratio Analysis. Fund Flow, Cash Flow. Corporate Accounting. Accounting of Joint Stock Companies: Overview of Share Capital and Debentures, Accounting for Issue and forfeiture of Shares, Issue of Bonus Share, Issue of Debentures. 	2	6
09 Financial Statements of Companies	Financial Statements of Companies: Income Statement and Balance Sheet in Schedule VI. Provisions of the Companies Act: Affecting preparation of Financial Statements, Creative Accounting, Annual Report, Presentation and analysis of Audit reports and Directors report. (Students should be exposed to reading of Annual)	2	6



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	Reports of Companies both detailed and summarized version).		
10 Inflation Accounting & Ethical Issue in Accounting	Describing Inflation Accounting & Ethical Issue in Accounting	2	6
11 Case Studies and Presentations	Case Studies and Presentations	10	10
	Sub Total:	30	70
	Internal Assessment Examination & Preparation of Semester Examination		30
	Total:		100

Practical:

Skills to be developed:

Intellectual skills:

- 1. Analytical Skills.
- 2. Critical Thinking. ...

Motor Skills:

- 1. Attention to Detail
- 2. Interpersonal Communication. ...
- 3. Adaptability....
- 4. Time Management. ...

List of Practical: Sl. No. 1& 2 compulsory & at least three from the rest)

- 1. Analytical Skills.
- 2. Critical Thinking. ...
- 3. Attention to Detail
- 4.Interpersonal Communication. ...
- 5. Adaptability. ...

Assignments:

List of Books Text Books:

Name of Author	Title of the Book	Edition/ISSN/ISBN	Name of the Publisher
P C Tulsian ,	Financial Accounting	2002/ 9788177582284	Pearson



Gregory E	Becker	Accounting Principals:The ultimate Begineers Guide to Accounting		978-1081670290		Pearson	
Referenc	e Books:						
M C Shuk GuptaT S	daS C	Advanced A	Accounting	2018/ 978-	-9352533022	S.CHAND	
M C Shuk GuptaT S	daS C	Advanced Accounting Vol - II		2018/ 978-8121911009		S.CHAND	
End Sem	ester Exami	nation Sch	eme.	Maximum I	Marks-70.	T	ime
Group	Unit	Objective (MCQ only correct ans			Subjective	Questions	
		No of question to be set	Total Marks	No of question to be set	To answer	Marks per question	Total Marks
Α	1,2,3, 4	10	18	3	2	4	52
В	4,5, 6, 7, 8	10	ation (MCO) w	4	3	to be not in t	

- Only multiple choice type question (MCQ) with one correct answer are to be set in the objective part.
- Specific instruction to the students to maintain the order in answering objective questions should be given on top of the question paper.



	ourse: Bachelors in amental Analysis & F	Banking and Financial Services		
Course Code:		Semester: 1		
Duration:30	<i>BB</i> /(B) 0 100	Maximum Marks: 100		
Teaching Scho	eme	Examination Scheme		
Theory: 5 hour		End Semester Exam: 70		
Tutorial: 1 hours per week		Teacher's Assessment: 10		
Practical:	io poi moon	Internal Assessment: 20		
Credit: 6		Practical Sessional internal continu	ous evalu	iation:
		Practical Sessional external examin		
Aim:				
SI. No.				
1.		al understanding of Fundamental Analy	sis & Fina	ncial
•	Reporting	······································	-4-1 A1	
2.	Establish a link bet	ween various components of Fundame	ntai Anaiys	SIS
Objective:				
SI. No.				
1.	To gain an underst and financial report	anding of the various concepts of Funda s	amental Ar	nalysis
D D · · · ·				
Pre-Requisite:				
SI. No.	NA			
Contents			Hrs./we	eek
Chapter	Name of the Topic	<u> </u>	Hour	Marks
Onapici	Name of the ropk	•	s	Warks
01	Describe W	hat is Fundamental Analysis	1	6
Introduction		o Performs Fundamental Analysis		
to	,	os of the Fundamental Analysis		
Fundamental	Process	of the Fundamental Analysis		
Analysis	Data Gathe	rina		
,	Building Mo			
	Analysis	dels		
	_	r the Duciness Outleak and Value		
		g the Business Outlook and Value		
02 Foonamis	,	ecommendations	2	6
02 Economic		ing concepts of economic analysis	2	0
Analysis	Economic of the second contraction of t	•		
	Acceleration			
	Declaration			
	 Fiscal Polic 	V		



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00 leader 1	Monetary policy		
03 Industry	Understanding Porter's Five Forces Industry Analysis	2	6
Analysis	Industry Analysis		
	Industry Classification		
	Demand Analysis		
	Supply Analysis Profitability		
04 5:	Profitability		
04 Financial	Describe Financial Statement Analysis	2	6
Statement	List Tools & Techniques of Analysis		
Analysis	List Types of Comparison		
05	Describe Ratio Analysis	2	6
Introduction	 Describe how is a ratio is expressed 		
to Ratios	 List advantages of Ratio Analysis 		
	 Define basis of Ratio Analysis 		
	List classification of Ratios		
	Define Liquidity Ratios		
06	Describe Profitability Ratios	3	6
Profitability	Describe Valuation Ratios		
and Valuation			
Ratios			
07 Leverage	Understand Du Pont analysis	3	6
and Efficiency	Describe Leverage Ratios		
Ratios	Describe Turnover Ratios		
08 Analyzing	 Understand the components and significance of 	3	6
Annual	Annual Reports.		
Reports			
09 Cash Flow	Describe Cash Flow Statement	3	6
Statements			
10 Funds	 Understand and Describe Funds Flow Statement 	3	6
Flow			
Statement	<u> </u>	1	
11 Reporting	Reporting Requirements	3	6
Requirements			
12	Understand Growth Investing using CAN SLIM	3	4
Investment	- Shadidaha Giowai invoding doing Oray Octivi		'
Strategy			
Using FA			
	Sub Total:	30	70
	Internal Assessment Examination & Preparation of Semester Examination		30
	Total:		100

Intellectual skills:



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 Analytical Skills

- 2. Risk Management.
- 3. Market Intelligence.
- 4. Forecasting.
- 5. Statistical Techniques.

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IV	v	.VI	v	NΠ	IIЭ

- 1. Profiling.
- 2. Networking.

List of Practical: Sl. No. 1& 2 compulsory & at least three from the rest)

- 1. Analytical Skills
- 2. Risk Management.
- 3. Market Intelligence.
- 4. Profiling.
- 5. Networking.

List of Books Text Books:

Name of Author	Title of the Book	Edition/ISSN/ISBN	Name of the Publisher
Raghu Palat	Fundamental Analysis for Investors	2015 / 978- 8170947950	Vision Books
Michael C Thomsett	Getting Started in Fundamental Analysis	978/0471754466	Pearson
Reference Books:			
Robert G. Hagstrom	The Warren Buffett Way	2014 / 978- 8126546435	Wiley
List of equipment/ap	 pparatus for laboratory e	 experiments:	
SI. No.			
	NA		



End Semo		nation Sche	me.	Maximum N	larks-70.	Ti	me
Group	Unit	Objective (MCQ only correct answers			Subjective	Questions	
		No of question to be set	Total Marks	No of question to be set	To answer	Marks per question	Total Marks
Α	1,2,3, 4	10	18	3	2	4	52
В	4,5, 6, 7, 8	10		4	3		

- Only multiple choice type question (MCQ) with one correct answer are to be set in the objective part.
- Specific instruction to the students to maintain the order in answering objective questions should be given on top of the question paper.

Name of the C	Course: Bachelors in	Banking and Financial Services
	sh Communication	Danking and I mandal oct vices
Course Code: BBABFS104		Semester: 1
Duration : 30 h	nours	Maximum Marks: 100
Teaching Sch	eme	Examination Scheme
Theory: 2 hours per week		End Semester Exam: 70
Tutorial:		Teacher's Assessment: 10
Practical:		Internal Assessment: 20
Credit: 2		Practical Sessional internal continuous evaluation:
		Practical Sessional external examination:
Aim:		
SI. No.		
1	Build a foundational international busine	al understanding of communications in domestic & ess situations
2	Establish a link bet potential barriers	ween business and effective communication by reducing
Objective:		
SI. No.		
1	To understand and international busine	I comprehend effective communication for domestic and ess
Pre-Requisite	<u> </u>	
SI. No.	NA	



Contents		Hrs./w	eek
Chapter	Name of the Topic	Hour s	Marks
01 Understandin g communicatio ns in domestic & international business situations.	 Understanding communications in domestic & international business situations. Cultural Context of Communications. Customs and Practices. 	4	4
02 Barriers to effective communicatio n.	 Barriers to effective communication. Steps to structuring communication well. Powerful techniques to influence people. Importance of 1. Clear Objectives to Communication. 2. Analysis of Receivers Thoughts & Feelings. Structuring Communication in a. Opening b. Body c. Closing. Importance of verbal & non-verbal communication. 	4	4
03 Writing effective emails	 Writing effective emails. Emails to juniors. Emails to peers. Emails to Seniors. Emails to Customers for Developing Sales. Emails to Customers for Service Issues. 	4	4
04 Influencing & Handling Conflict & Differences of Opinion.	 Influencing & Handling Conflict & Differences of Opinion. Understanding Submissiveness, aggressive & assertive communication 	4	4
05 Writing effective reports & analysis.	 Writing effective reports & analysis. Structuring the Reports. Executive Summary. Flow. Methodology. Research Done. Key Findings. Your Analysis. Conclusions & Recommendations. 		
06 Making an effective oral presentation	 Making an effective oral presentation. Preparing Objectives. Researching Audience needs & wants. Communicating Key Points of the message. Handling Questions & Objections from the Receiver. Closing with Action planning. 		



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07 Making an effective presentation	Making an effective presentation with PowerPoint slides / Interaction / Videos / audios.		
	Skill Enhancement Compulsory (SEC)		
	Sub Total:	30	30
	Internal Assessment Examination & Preparation of Semester Examination		70
	Total:		100

Practical:

Skills to be developed:

Intellectual skills:

- 1. Collaboration.
- 2. Public Speaking. ...
- 3. Written Communication.

Motor Skills:

- 1. Listening. ...
- 2. Nonverbal Communication. ...

List of Practical: Sl. No. 1& 2 compulsory & at least three from the rest)

- 1. Listening. ...
- 2. Nonverbal Communication. ...
- 3. Collaboration.
- 4. Public Speaking. ...
- 5. Written Communication.

List of Books

Text Books:

Name of Author	Title of the Book	Edition/ISSN/ISBN	Name of the Publisher
Courtland L. Bovee , John V. Thill	Business Communication Essentials	2011 / 978- 0132564809	Pearson
Kerry Patterson	Crucial Conversations:Tools	978/1259005213	McGraw Hill



		for Talking stakes are					
Doforon	ce Books:						
Harvard I Review		HBR's 10 N On Commu	Must Reads: unication	2015 / 978 14221898		Harvard B Review	usiness
allotted-				Maximum I			me
Group	Unit	(MCQ only correct ans		Subjective Questions			
		No of question to be set	Total Marks	No of question to be set	To answer	Marks per question	Total Marks
Α	1,2,3, 4	10		3	2		52
^	1,2,3, 4		18			4	

- Only multiple choice type question (MCQ) with one correct answer are to be set in the objective part.
- Specific instruction to the students to maintain the order in answering objective questions should be given on top of the question paper.