Syllabus of BBA Banking and Financial Services (Effective from academic session 2021-22)

Semester-IV

	ourse: Bachelors in En Banking structure &	Banking and Financial Services laws		
Course Code:	BBABFS401	Semester: 4		
Duration:30		Maximum Marks: 100		
Teaching Sch	eme	Examination Scheme		
Theory: 5 hour	s per week	End Semester Exam: 70		
Tutorial:1 hou	rs per week	Teacher's Assessment: 10		
Practical:		Internal Assessment: 20		
Credit: 6 Practical Sessional internal continuo		ous evalu	iation:	
		Practical Sessional external examina	ation:	
Aim:	1			
SI. No.				
1.	Build a foundational	understanding of the Indian Banking st	ructure &	its laws
2.		about the various components of the Inc		
	structure, its regulations, legal aspects, banking related operations and laws		nd laws	
Objective:				
SI. No.				
1.	To gain valuable knowledge about the Indian Banking Structure and Laws			
	-			
Pre-Requisite				
SI. No.				
1.	NA			
Contents			Hrs./we	ek
Chapter	Name of the Topic		Hour	Marks
-	-		S	
01	Legal Frame	work of Regulation of Banks	8	18
Regulations		Organization of Banks		
and		f Banking Business		
Compliance	_	ection, Winding up, Mergers &		
	Acquisitions			
	· ·	r Banks and Cooperative Banks		
		ctor Legislative Reforms		
		slative Changes in RBI Act		
		ctor Development Council		
02 Legal		es of Borrowers	8	18
aspects of	Types of Cre			.0
aspects of	l speadiole	MILI GOIILIGO		l

	Semester Examination		30
	Internal Assessment Examination & Preparation of	30	30
	Sub Total:	30	70
	The Prevention of Money Laundering Act, 2002Information Technology Act, 2000		
	Authorities		
	Right To Information and Obligation of Public		
	 The Right to Information Act, 2005 		
	 Transfer of Property Act, 1882 		
	 Foreign Exchange Management Act, 1999 		
Operations	 The Companies Act, 1956 		
Banking	 Definition and features of a company 		
Laws with reference to	 Indian Partnership Act, 1932 		
Commercial	 The Sale of Goods Act, 1930 		
04	Indian Contract Act, 1872	6	16
	Payment & Settlements Systems Act, 2007		
	Tax LawsNegotiable Instruments Act, 1881		
	The Law of Limitation Toy Laws		
	The Consumer Protection Act, 1986 and CERSAI		
	 The Legal Services Authorities Act, 1987 		
	Bankers Books Evidence Act, 1891		
	Banking Ombudsmen Scheme		
	Assets and Enforcement ofSecurities Interest Act (SARFAESI)		
	Securitisation and Reconstruction of Financial Acceptaged Enforcement of		
Related Laws	Institutions Act, 1993(DRT Act)		
03 Banking	Recovery of Debts due to Banks and Financial	8	18
	Case Laws on Responsibility of Collecting Bank		
	Case Laws on Responsibility of Paying Bank		
	Charging – IIRegistration and Satisfaction of Charges		
	Laws Relating to Securities and Modes of Charging III		
	Charging – I		
	Laws Relating to Securities and Modes of		
	 Various Types of Securities 		
	Deferred Payment GuaranteesLaws Relating to Bill Finance		
	Letters of Credit Deferred Reymont Cuerantees		
	Bank Guarantees		
	 Indemnities 		
Operations	Firms and Incorporation of Companies		
Banking	 Secured and Unsecured Loans, Registration of 		1

	Total	:					100
List of Book							
Name of Author		Title of the	Book	Edition/IS	SN/ISBN	Name of the Publisher	he
N K Gupta		Legal And F Aspects of I JAIIB - 2020	Banking -	2020 / B08	413PC45	IBC Acade Publication	
Reference	Books:						
Mishra Suk	khvinder	Banking Lav Practice	w and	2014 / B06	XKV724W	S Chand	
	ıipment/ap	paratus for l	aboratory e	xperiments	5 :		
SI. No.							
1.		NA					
End Seme		ination Sche	eme. I	Maximum N	larks-70.	Ti	me
Group	Unit	Objective (MCQ only v			Subjective	Questions	
		No of question to be set	Total Marks	No of question to be set	To answer	Marks per question	Total Marks
Α	1,2,3, 4	10	18	3	2	4	52
В	4,5, 6, 7, 8	10		4	3		

- Only multiple choice type question (MCQ) with one correct answer are to be set in the objective
 part.
- Specific instruction to the students to maintain the order in answering objective questions should be given on top of the question paper.

		Banking and Financial Services		
Subject: Corpo				
Course Code:		Semester: 4		
Duration: 30 H		Maximum Marks: 100		
Teaching Sch		Examination Scheme		
Theory: 5 hour		End Semester Exam: 70		
Tutorial:1 hour	rs per week	Teacher's Assessment: 10		
Practical: Credit: 6		Internal Assessment: 20		4:
Credit: 6		Practical Sessional internal continuo Practical Sessional external examina		iation:
Aim:		Practical Sessional external examina	uon.	
SI. No.	<u> </u>			
31. NO.	Build a foundations	al understanding in the field of Cornerate	Einanca	
<u>'</u>		al understanding in the field of Corporate ween the various components of Corpora		200
	Establish a link bet	ween the various components of Corpora	ale Fillai	ice
Objective:				
SI. No.				
1	To build a foundation	onal understanding in the field of Corpora	ate Finan	ce
· ·	10 bana a roamaan	onal anacrotanang in the held of corpora	ato i iliali	
Pre-Requisite:	<u> </u>			
SI. No.				
1	NA			
Contents			Hrs./we	eek
Chapter	Name of the Topic	;	Hour	Marks
-			S	
01 Corporate	Management Skill	s	2	5
Financial	 Identify the 	three key financial statements of		
Statements	corporation	s (i.e., the income statement, balance		
	sheet, and	statement of cash flows) and describe		
	their conter	its and purposes		
	 Follow the s 	standard formats for organizing items		
		statements		
	_	e items on financial statements and		
	_	ow they're related		
		when errors have been made in		
	financial sta			
	Spread sheet Skil			
	•	ead sheets for financial statements		
	•	e content of spread sheets in logical		
	formats]	

O2 Analysis of	 Label rows and columns to communicate clearly as well as to calculate correctly Enter data values to show the basis for calculated values Formulate and enter expressions to calculate values Wrap text in rows or columns Use cell references in expressions for calculated values that link the cells to other cells with data or other calculated values Format values Hide rows or columns of financial statements so that only selected ones are displayed Link worksheets so that entries or values on one worksheet can be used for calculating values on another worksheet in the same workbook Use Excel's Formula Auditing tool to examine cell linkages Where possible, include tests that automatically detect errors or validate results 	2	5
02 Analysis of Financial Statements	Management Skills Use common-size financial statements to compare the financial status of companies of different.		3
Statements	the financial status of companies of different sizes—that is, perform "vertical analysis"		
	 Analyze year-to-year trends—that is, perform "horizontal analysis" 		
	 Use financial ratios to gauge their financial health 		
	 Benchmark changes in a firm's financial ratios against other companies in the same industry 		
	Spread sheet Skills		
	Prepare common-size financial statements		
	 Calculate and display year-to-year changes of financial statements and financial ratios 		
	 Transfer values from the worksheets for financial 		
	statements to other worksheets for calculating financial ratios		
	 Use IF tests and add text to identify whether or not 		
	a company's financial ratios are improving from one year to the next, and to indicate how well a		
	company's financial ratios compare to industry		
	averagesUse conditional formatting to highlight items		
	needing management attention		

03	Management Skills	2	5
Forecasting	Give examples of why forecasting is essential to		
Annual	good business management		
Revenues	Be able to discriminate between valid and invalid		
	models and justify one's position		
	Define the accuracy of models and projected		
	values		
	Understand the risks associated with projections		
	based on past values		
	Explain why it is necessary to adjust statistical projections of post trends for future changes in		
	projections of past trends for future changes in trends		
	Alert managers and investors to changes in past trends that should trigger changes in their long-		
	term strategies and short-term tactics		
	Spread sheet Skills		
	Use Excel's Chart Wizard to create a scatter plot of		
	a set of data values		
	Select non-contiguous cell ranges for plotting		
	Insert different types of trend lines on a scatter plot		
	to see which best fits a set of data values		
	 Use Excel commands (e.g., INTERCEPT, SLOPE, 		
	and CORREL) and tools (e.g., LINEST and		
	LOGEST) to create a statistical model that follows		
	the trend of historical data for annual revenues		
	 Insert and format text boxes on charts 		
	Discriminate between random and systematic		
	errors, validate a model, and recognize the		
	difference between a model that is valid and one		
	that is not		
	Evaluate the accuracy of a model and its forecasts Colorlete application and applications of a model and its forecasts.		
	Calculate confidence limits and create downside risk curves for forecasts		
	Use \$ signs and the F4 key to lock cell references		
	so they don't change when entries are copied to		
	other cells		
	Recognize changes in trends and the need to		
	revise or replace a forecasting model.		
04 Turning	Management Skills	2	5
Points in	Perform close, critical examinations to determine		-
Financial	how well statistical models fit data trends and		
Trends	recognize departures from past trends		
	Use sound judgment, experience, and semi		
	quantitative protocols to adjust statistical		
	projections of the past for anticipated changes in		
	trends in order to provide more accurate forecasts		
	of the future		

	 Provide real-life examples that illustrate techniques for recognizing turning points and periodically revising forecasts and management strategies Keep abreast of changes in a company's strategies and recognize the need for "insider information" to forecast its financial health Spread sheet Skills Create charts that consist of different trend lines for different periods Use dummy variables to splice curves that consist of two or more different trend lines into a single, continuous curve 		
05 Forecasting Financial Statements	Use forecasts of annual sales and other considerations to forecast financial statements as part of a firm's long-range plans Analyze the impacts of potential changes in future growth and other factors on gross profits, earnings after taxes, and other financial results Spread sheet Skills Incorporate forecasts of future annual revenues and other items into future Income Statements and Balance Sheets Use Excel's Scenario Manager tool to perform sensitivity analysis	2	5
06 Forecasting Seasonal Revenues	 Management Skills Recognize seasonal variations in a firm's income and their importance to financial, sales, marketing, personnel, and operational management Explain what is meant by "seasonally adjusted annual rates" and how to calculate them Spread sheet Skills Create a seasonally-adjusted model by joining seasonal adjustments to the model for an annual trend line Create a seasonally-adjusted model by joining seasonal adjustments to a model for a moving average trend line Use error feedback to correct a forecasting model so that the average error is zero Create an automatic feedback system for using future values to revise a forecasting model and improve forecasts of the future 	2	5
07 The Time Value of Money	Management Skills Understand and be able to apply the concepts of the time value of money	2	5

	 Recognize the sensitivity of financial payoffs to 		
	changes in interest rates and other conditions that		
	business managers must cope with		
	 Tailor a series of future cash inflows or outflows 		
	necessary to satisfy present or future business		
	objectives		
	Spread sheet Skills		
	 Use Excel's financial commands to convert future 		
	values to their equivalent present values, and vice		
	versa		
	 Use Excel's financial commands to determine the 		
	net present value of a series of future cash flows		
	 Calculate periodic payments for mortgages and 		
	other loans to identify how much of each payment		
	goes to paying off the principal and how much		
	goes to paying interest		
	Use Excel's Goal Seek and Solver tools to achieve		
	an objective		
	Create one- and two-variable input tables to do		
	sensitivity analysis	_	_
08 Cash	Management Skills	2	5
Budgeting	 Understand the purpose of cash budgeting and its 		
	role in a company's financial and operating plans		
	Recognize the inputs required for cash budgeting		
	and identify the organizations that are responsible		
	for providing them		
	Edit cash budgets for changes in corporate policies		
	and operating strategies		
	Evaluate alternate strategies to satisfy fluctuating		
	or seasonal customer demands at minimum cost		
	Spread sheet Skills		
	Consolidate inputs from various parts of a		
	business organization into a worksheet		
	 Evaluate a firm's cash collections, disbursements, and ending cash balance for successive periods 		
	that a CFO must plan forCreate one-variable input tables to evaluate the		
	 Create one-variable input tables to evaluate the impacts of changes in interest rates, safety stocks, 		
	and other variables on a firm's operations and		
	costs		
	 Include the cost of holding inventories in a cash 		
	budget		
	 Include the cost of working overtime in a cash 		
	budget		
00 Cost of		2	5
09 Cost of	Management Skills	2	5
Capital			

	Understand what is meant by the cost of capital		
	and how it is calculated		
	Identify sources of capital and their costs		
	Understand the components of capital and how		
	they appear in a corporation's capital structure		
	Understand what is meant by flotation costs		
	Recognize the relationship of WACC to the		
	discount rate used in capital budgeting		
	Spread sheet Skills		
	Calculate the weighted average cost of capital		
	(WACC) from its components		
	Use Excel's Goal Seek and Solver tools to		
	determine the value of an independent variable		
	that's needed to satisfy a related goal		
	Distinguish between WACC based on book value		
	and WACC based on market value, and show how		
	to calculate them		
	Include flotation costs in the calculation of WACC		
	Calculate the WACC for different amounts of total		
	capital raised and create a chart that shows WACC		
	as a function of the total capital raised		
10 Profit,	Management Skills	2	5
Break-Even,	Understand what is meant by profit, break-even,	_	
and Leverage	and leverage		
	Understand the difference between fixed and		
	variable costs		
	Spread sheet Skills		
	Create a model to evaluate the profit from making		
	and selling a product		
	Compare algebraic and spread sheet methods for		
	determining break-even points (i.e., the sales		
	volumes needed to recoup costs)		
	 Use Excel's Solver tool to determine the sales 		
	volume needed to break even or achieve other		
	financial goals		
	 Relate sales volume to selling price and use 		
	Excel's Solver tool to identify an optimum selling		
	price for greatest profit		
	 Create one-variable input tables to perform 		
	sensitivity analysis for the effects of selling price on		
	the number of units sold, sales revenue, net		
	income, and other items on a firm's income		
	statement		
	Create charts to show graphically the impact of		
	selling price on the number of units sold, sales		
	revenue, net income, and other items on a firm's income statement		
	i income statement	1	1

11	Managament Skilla	2	5
11 Depreciation and Taxes	Management Skills Understand the concept of depreciation and the various types of depreciation schedules used in business	2	5
	 Understand the difference between income tax on regular income and income tax on capital gains and be able to calculate them 		
	Spread sheet Skills		
	Use Excel's function commands to calculate depreciation schedules for capital assets		
12 Capital Budgeting: The Basics	Management Skills Identify the cash flows associated with capital budgeting and their timing Understand the difference between an internal rate of return (IRR) and a modified internal rate of return (MIRR), and why using IRR can lead to errors Use the correct financial criteria (e.g., net present value, modified internal rate of return, and years to break even) for evaluating investments in capital assets Understand the importance of judgment, experience, and nonfinancial criteria to the proper selection of investments in capital assets Spread sheet Skills Create worksheets that show the steps in moving from sales revenues to after-tax cash flow (or net income), including the places of depreciation and taxes in the process Use Excel's financial commands to calculate financial measures of success, such as an investment's net present value (NPV), internal rate of return (MIRR), modified internal rate of return (MIRR), and payback period (or years to break even) Formulate a nested IF statement to calculate the payback period (or years to break even) Create a two-variable input table that shows the sensitivity of financial measures of success to variations in real-world conditions, such as tax rates and the discount rate of money Use Excel's Goal Seek and Solver tools to determine changes that must be made in order to achieve financial goals, such as achieving a given net present value, modified internal rate of return, or breaking even in a given time Create a spread sheet protocol for including judgment, experience, and nonfinancial criteria in	2	5

	the explication of alternate investments in conital		
	the evaluation of alternate investments in capital		
	assetsUse binary variables with Excel's Solver tool to		
	identify the optimum choices from a list of potential		
	projects that are competing for limited funds		
13 Capital	Management Skills	2	5
Budgeting:	Understand how to evaluate the financial payoffs	_	3
Applications	for various types of capital budgeting alternatives		
Applications	Understand the importance of product quality in		
	making decisions		
	Spread sheet Skills		
	Apply Excel's NPV, IRR, and MIRR commands to		
	calculate the net present value, internal rate of		
	return, and modified internal rate of return from		
	various types of capital investments		
	Formulate nested IF statements to calculate the		
	payback periods (or years to break even) for		
	various types of capital investments.		
	Use one- and two-variable input tables to analyze		
	the sensitivity of financial payoffs to changes in the		
	cash inflows, interest rates, and other conditions		
	with which managers must cope		
	 Create downside risk curves that display the 		
	probabilities for achieving financial goals.		
	Use Solver to identify the changes needed to		
	satisfy new goals		
	Create a "spider plot" to compare the impacts of		
	changes in input variables on an investment's		
44.0 14-1	payoff	0	0
14 Capital	Management Skills	2	3
Budgeting:	Understand the concept of risks and the use of		
Risk Analysis	probability distributions to evaluate them Spread sheet Skills		
with	-		
Scenarios	 Use probability distributions to calculate the risks for achieving success or suffering failure 		
	Apply Excel's Scenario Manager tool to analyze the effects of various combinations of conditions		
	(e.g., best-on-best, worst-on-worst, and most		
	probable) on future payoffs		
	Create "downside risk charts" to express risks in a		
	graphical format that can be easily understood and		
	used to make decisions that involve risks		
15 Capital	Management Skills	2	3
Budgeting:	Understand the concept of Monte Carlo simulation		
Risk Analysis	and its use to evaluate the risks for achieving		
with Monte	success or suffering failure in capital budgeting		
Carlo	decisions		
Simulation	Spread sheet Skills		

	 Use Monte Carlo simulation to determine the possible payoffs for capital investments with several input variables that have different types of probability distributions Generate random numbers with several types of distributions Use random numbers to simulate random values or events Execute a large number of iterations to ensure that the simulation results are not compromised by "the luck of the draw," which can occur with only a small number of iterations Use Excel's FREQUENCY and NORMDIST commands to convert the results from a large number of iterations into probability distributions for possible outcomes or payoffs Create "downside risk curves" that express the probability distributions in a graphical format that can be easily understood and used for making decisions 		
			+
	Sub Total:	30	70
	Internal Assessment Examination & Preparation of		30
	Semester Examination Total:		100
Practical: Skills to be de Intellectual skill 1. 2. 3.			
Motor Skills: 1. 2. 3. 4. 5. List of Practic 1. 2. 3. 4. Assignments:	al: SI. No. 1& 2 compulsory & at least three from the rest)	ı	

List of B Text Boo							
Name of		Title of the	Book	Edition/ISS	N/ISBN	Name of t Publisher	-
Ross (A	uthor),	Corporate	}	2014 / 978-		McGraw H	Hill
Westerfi (Author) (Author)	eld , Jaffe	Finance		933920312	2	Education	1
Referen	ce Books:						
Aswath Damoda		Corporate Finance T and Pract	heory	2007 / 978 812651115		Wiley	
SI. No.	quipment/ap	paratus for NA	laborato	ry experimen	ts:		
End Sem				Maximum			me
Group	Unit	Objective Question (MCQ only correct ans	s with the	Subjective Questions			
		No of question to be set	Total Marks	No of question to be set	To answer	Marks per question	Total Marks
Α	1,2,3, 4	10	18	3	2	4	52
В	4,5, 6, 7,	10	10	4	3	7	

- Only multiple choice type question (MCQ) with one correct answer are to be set in the objective part.
- Specific instruction to the students to maintain the order in answering objective questions should be given on top of the question paper.

Subject: Invest Course Code:				
Course Code:				
		Semester: 4		
Duration:30 HC		Maximum Marks: 100		
Teaching Sche		Examination Scheme		
Theory: 5 hours		End Semester Exam: 70		
Tutorial:1 hours	s per week	Teacher's Assessment: 10		
Practical:		Internal Assessment: 20		
Credit: 6		Practical Sessional internal continuo		ation:
		Practical Sessional external examina	tion:	
Aim:				
SI. No.				
1		al understanding of Investment Banking		
2	Understanding the various components involved in the working of Investment			
	Banks and its cond	epts		
Ohiootivov				
Objective:				
	To build a farmalati	on in trademateralinar the composite of Inves	atus a sat D	م مادام م
1	To build a foundation	on in understanding the concepts of inves	simeni B	anking
Pre-Requisite:				
01. 110.	NΑ			
	101			
Contents			Hrs./we	ek
	Name of the Topic	 C	Hour	_
- 1				
01 Overview	How did Inv	vestment Banking evolve in India?	2	5
of Investment				
Banking		vestment Banks do?		
_				
		rcial Banks?		
	 What is the 	Investment banking hierarchy?		
02		e core activities of Investment Banks?	2	5
Investment				
Danishan		ne role of Investment Banks in sales and		
Banking	• Wilataic ti			
Activities	trading?	e role of Investment Banks in M&A?		
Chapter 01 Overview of Investment Banking 02 Investment	NA Name of the Topic How did Inv What is Inv What do Inv What is the V/s Comme What is the What are th What are th	vestment Banking evolve in India? estment Banking? vestment Banks do? difference between Investment banks rcial Banks? Investment banking hierarchy? he core activities of Investment Banks? he steps in raising capital?	Hrs./we Hour s 2	eek Marks

03 Raising Equity Capital	 What are the instruments involved in equity offering? What are the parties involved in equity offering? What are Public issues, rights issues, and private placements? How Initial Public Offerings (IPOs) work? What is Clause 49 of listing agreement? 	2	5
04 IPO Process-1	 What are the key phases in an IPO? What is the difference between Book building and fixed price offering? 	2	5
05 IPO Process-2	How the book building process works?What is the role of underwriters in IPO?	2	5
06 Private Equity Investments- 1	 What is Private Equity (PE)? What are the different equity funding stages? Which are the different types of PE investors? 	2	5
07 Private Equity Investments- 2	How PE businesses work?How the Private equity investment process works?	2	5
08 Role of IBs in Debt Markets-1	 Role of Investment Banks in Debt Capital Markets (DCM) How NCDs/Bonds work? 	2	5
09 Role of IBs in Debt Markets-2	 Role of Investment Banks in helping companies raise syndicated loans Securitization and Structured products 	2	5
10 Raising Finance From International Markets-1	 Which are the instruments involved raising finance from international markets? What are Depository receipts: ADRs and GDRs? How the depository receipt issuing mechanism works? What are International bonds? 	2	5
11 Raising Finance from International Markets-2	Other InstrumentsWhat are FCCBs?	2	5
12 Introduction to M&A	 What are Mergers and Acquisitions (M&As)? How are M&As classified? What are some of the major motivations behind M&As? Which are the different forms of Acquisition? Which are the different methods of payments in M&A transactions? 	2	5
13 M&A Analysis	What is the difference between friendly mergers and hostile takeovers?Which hare the different hostile takeover styles?	2	3

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Dragtical	Total:		100
	Internal Assessment Examination & Preparation of Semester Examination		30
	Sub Total:	30	70
	3		
	can restructure their business?What is the role of investment banks in corporate restructuring?		
	What are the different ways in which a corporate		
Restructuring	Why do corporates restructure their business?		
15 Corporate	What is corporate restructuring?	2	4
Analysis	How is an LBO structured?		
14 M&A	What are antitrust regulations in M&A?	2	3
	What are some post-offer target defense mechanisms?		
	mechanisms?		
	What are some pre-offer target defense		

Practical:

Skills to be developed:

Intellectual skills:

Motor Skills:

List of Practical: SI. No. 1& 2 compulsory & at least three from the rest)

Assignments:

List of Books Text Books:

TCAL BOOKS.			
Name of Author	Title of the Book	Edition/ISSN/ISBN	Name of the Publisher
Pratap Giri S.	Investment Banking, Concepts, Analyses and Cases	2016 / 978- 9352607006	McGraw Hill Education
Reference Books:			
		0045 4070	1 200
by Joshua Rosenbaum (Author), Joshua Pearl (Author)	Investment Banking: Valuation, Leveraged Buyouts and Mergers & Acquisitions	2015 / 978- 8126555734	Wiley

List of oau	iinmant/anr	aratus for l	aboratory	experiments	. .		
	iipiiieiivap	Jaratus IOI I	aboratory t	experiments),		
SI. No.							
1		NA					
End Seme	ster Exami	nation Sche	me.	Maximum N	larks-70.	Ti	me
allotted-3h							
		01-141	0	1	0	0	
Group	Unit		Questions		Subjective	Questions	
		(MCQ only v					
		correct answ	ver)				
		No of	Total	No of	To answer	Marks per	Total
		question	Marks	question		question	Marks
		to be set		to be set		' '	
Α	1,2,3, 4	10		3	2		52
^	1,2,3, 4	10	40	3	_	_	32
			18			4	
В	4,5, 6, 7,	10		4	3		
	8			1	I	I	1

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	Course: Bachelors in Ba mation Technology in Bo	anking and Financial Services usiness Management-I		
Course Code	e: BBABFS404 – A	Semester: 4		
Duration: 30		Maximum Marks: 100		
Teaching Sch		Examination Scheme		
Theory: 2 hour	rs per week	End Semester Exam: 70		
Tutorial:		Teacher's Assessment: 10		
Practical:		Internal Assessment: 20		
Credit: 2		Practical Sessional internal co		valuation:
A :		Practical Sessional external ex	amination:	
Aim: SI. No.				
31. NO.	Appreciate the role of	IT in Business Management		
•	Appleciate the lote of	Ti ili Dusilless Mallayelllelli		
Objective:	I			
SI. No.				
1	Use IT tools to manag	ge busines effectively		
		·		
Pre-Requisite	• •			
SI. No.				
1	Basic IT skills			
Contents			Hrs./w	ook
	Name of the Tonic		Hour	Marks
Chapter	Name of the Topic			warks
01	Business Intel	ligence Tools and Techniques	S 6	14
Introduction		ligence Strategies	0	14
to Business	• Dusiness inten	ligerice Strategies		
Intelligence				
02 Data	Data Types		6	14
Manipulation	Importing Data	3		
	Keyboard Inpu			
	Database Inpu			
	Exporting Data	a		
	Viewing Data			
	 Variable Label 	ls		
	 Value Labels 			
	 Missing Data 			
	 Date Values 			
	•			

03 Decision Making Systems	The Systems Approx	The Systems Approach			
04 Database Structures	Fundamental RelationalAdditional Relational	 Fundamental Relational-Algebra-Operations Additional Relational-Algebra-Operations Extended Relational-Algebra-Operations Null Values 			
05 Data Visualization	 Intro to Tableau Connect various date Tableau- various grae Dimensions and mea Draw charts in tablea Superstore example Example of calculate 	aphs asures au to draw basic charts ed fields nd various chart enhancer	ment	6	14
	Sub Total:			30	70
	Internal Assessment Examin Semester Examination	ation & Preparation of			30
	Total:				100
List of Books					
Text Books: Name of Auth	or Title of the Book	Edition/ISSN/ISBN	_	me of t blisher	_
Reema Thareja		2017 / 978-	Ox	Oxford University Press	
	Technology and its Applications in Business	0199489299	Pre	ess	
Reference Bo	Applications in Business oks:				II Education
	Applications in Business oks:	2017 / 978-			II Educatior

Group	Unit	Objective Questions (MCQ only with the correct answer)		Subjective Questions			
		No of question to be set	Total Marks	No of question to be set	To answer	Marks per question	Total Marks
A	1,2,3, 4	10	18	3	2	4	52
В	4,5, 6, 7, 8	10		4	3		

- Only multiple choice type question (MCQ) with one correct answer are to be set in the objective part.
- Specific instruction to the students to maintain the order in answering objective questions should be given on top of the question paper.

Name of the C	ourse: Bachelors in	Banking and Financial Services		
Subject: Introd	luction to FinTech	-		
	: BBABFS404 – B	Semester: 4		
Duration:30 H	OURS	Maximum Marks: 100		
Teaching Sch		Examination Scheme		
Theory:2 hours	s per week	End Semester Exam: 70		
Tutorial:		Teacher's Assessment: 10		
Practical:		Internal Assessment: 20		
Credit: 2		Practical Sessional internal continuo		iation:
		Practical Sessional external examina	ition:	
Aim:	T			
SI. No.				
1	To explore the field it	d of FinTech and understand various cor	icepts pe	rtaining to
2	Establish a foundation of understanding crowdfunding, robotics, process automation, blockchain, cryptocurrency, RegTech and so on.			
Objective:	I			
SI. No.				
1	To explore the field involved in it	d of FinTech by understanding the various	s concep	ts
Pre-Requisite	:			
31. NO.	NA			
	NA .			
Contents			Hrs./we	nok
	Name of the Topic		Hour	Marks
Chapter	Name of the Topic	•	S	IVIAINS
01 Exploring the Scope of Fintech	Size and Po Examine th Payments Wealth Mar Crowdfundi	e use of Fintech in the following areas nagement ng and Alternative Capital Management	3	7
	RegulatoryBig Data arInsurance 1	Framework ad Credit Scoring		

02 Mobile payments and e-wallets	 Identify the different types of money Identify and learn about the different types of Mobile Payments Understanding E-Wallets 	3	7
03 Crowd funding and Alternative Capital	 Understanding what is crowdfunding Understanding Initial coin offerings 	3	7
04 Robotics and Process Automation	Understanding impact of robotics in financial industry	3	7
05 Deposits and Lending	 P2P lending Loan Marketplace SMB Lending Supply Chain finance Student Lending Real Estate and industry Specific originators Marketplaces 	3	7
06 RegTech	 What is RegTech? State RegTech Industry momentum Listing salient features of RegTech 	3	7
07 Insurtech	 What is Insurtech? Online distribution Policy management Claims Management Artificial Intelligence and Machine learning in Insurance Data Analytics P2P Insurance Telematics Insurance Internet of Things (IoT) 	3	7
08 Blockchain & Cryptocurren cy	 Blockchains and Distributed Ledgers Identify Types of Cryptocurrencies (and uses) Current Legal Landscape Investment Potential and Concerns Smart Contracts Other Blockchain Uses 	3	7
09 Fintech Risk	 Identify potential risks emerging from the rapid adoption of Fintech Explore strategies to mitigate these risks 	3	7
10 Fintech shaping skills in FS	Understanding how Fintech is helping in shaping the Financial Services	3	7
			1

Syllabus of BBA Banking and Financial Services (Effective from academic session 2021-22)

Sub Total:	30	70
Internal Assessment Examination & Preparation of		30
Semester Examination		
Total:		100

Practical:

Skills to be developed:

Intellectual skills:

- 1. Knowledge of Financial reporting and analysis
- 2. Knowledge of IT tools
- 3. Analytical ability. ...
- 4. Management skills
- 5. Commercial acumen

Motor Skills:

- 1. Interpersonal skills
- 2. Ability to communicate. ...

List of Practical: Sl. No. 1& 2 compulsory & at least three from the rest)

- 1. Knowledge of Financial reporting and analysis
- 2. Knowledge of IT tools
- 3. Interpersonal skills
- 4. Ability to communicate. ...
- 5. Analytical ability. ...
- 6. Management skills
- 7. Commercial acumen

Assignments:

List of Books Text Books:

Name of Author	Title of the Book	Edition/ISSN/ISBN	Name of the Publisher
Sanjay Phadke	Fintech Future : The Digital DNA of Finance	2020 / 978- 9353882488	SAGE Publications India Pvt Ltd
Reference Books:	,		
Susanne Chishti	The FINTECH Book: The Financial Technology Handbook for Investors, Entrepreneurs and Visionaries	2016 / 978- 1119218876	John Wiley & Sons

End Sem	nester Exami 3hrs.	nation Sche	eme.	Maximum N	Marks-70.	Ti	me
Group	Unit	Objective Questions (MCQ only with the correct answer)		Subjective Questions			
		No of question to be set	Total Marks	No of question to be set	To answer	Marks per question	Total Marks
Α	1,2,3, 4	10	18	3	2	4	52
В	4,5, 6, 7, 8	10		4	3		

- Only multiple choice type question (MCQ) with one correct answer are to be set in the objective part.
- Specific instruction to the students to maintain the order in answering objective questions should be given on top of the question paper.

Subject: Busin Course Code:	ess Data Analytics	Banking and Financial Services			
Course Code:					
		Semester: 4			
Duration:30 H		Maximum Marks: 100			
Teaching School		Examination Scheme			
Theory: 2 hour		End Semester Exam: 70			
Tutorial:	- po	Teacher's Assessment: 10			
Practical:		Internal Assessment: 20			
Credit: 2		Practical Sessional internal continu	ous evalı	uation:	
		Practical Sessional external examin			
Aim:					
SI. No.					
1	Analytics	ional understanding of concepts involve			
2	Establish a link bet	ween various components of data analy	tics for bu	ısiness	
Objective:					
SI. No.					
1	To gain an underst methods effective to	tanding of data analytics using Python a for business	nd other v	/aried	
Pre-Requisite:					
SI. No.					
1	NA				
Contents	,		Hrs./w		
Chapter	Name of the Topic	c	Hour	Marks	
			S		
01		Data? Commercial and Scientific	4	9	
Introduction	Viewpoint				
to data	 Mining Larg 	ge Data Sets – Motivation			
	 What is Date 	ta Mining?			
	 Origins of E 	Data Mining			
	 Data Mining 	g Tasks			
02 Statistical		s and Statistics	4	9	
Inference	 Precision a 	nd Reliability			
		Experiment			
				1	
		Sampling Behaviour of Counts and Proportions			
to data	Mining LargWhat is DaOrigins of DData Mining	ta Mining? Data Mining g Tasks			

03	Summarizing Data	4	9
Exploratory	_	4	9
Data Analysis	Probability Distribution Testing		
Data Arialysis	Hypothesis Testing ANOVA (Analysis of Various s)		
	ANOVA (Analysis of Variance)		
	Correlation Paragraphy Anglish		
	Regression Analysis		
04	The data discovery process	4	9
Communicati	A Model of Communication		
ng Data	Three Types of Communication Problems		
	Six Principles of Communicating Data		
05 Data	Intro to Tableau	4	9
Visualization	 Connect various data files using tableau 		
	Tableau- various graphs		
	Dimensions and measures		
	Draw charts in tableau		
	Superstore example to draw basic charts		
	Example of calculated fields		
	Examples of filters and various chart enhancement		
	in tableau		
	Publish tableau dashboards		
06 Applied	Python Introduction- Anaconda IDE, Spyder and	10	15
Data Science	Jupyter Notebook. Explain the nuts and bolts		
with Python	Variable declaration and defining functions in		
•	Python		
	If and else if statement		
	Python vs R		
	Loops in python		
	String manupulation		
	Different data types in python		
	Exception handling in python		
	read write files in python		
	Pandas various functions		
	Numpy functions		
	Loops practical		
	data time as become		
	 data types nands-on Practical on Pandas 		
	creating functions in python Plot histogram, bar short using mathletlib		
	Plot histogram, bar chart using matplotlib		
	Plot pie chart, heat map, line graphs using mathetile		
	matplotlib		
	 Handson on reading and writing files. Change the type of data. 		
	type or data.		
	Sub Total:	30	70
	Internal Assessment Examination & Preparation of		30
	Semester Examination		

	Total:	! !					100		
List of Bo									
Text Books: Name of Author Title of the		Title of the	e of the Book Edition/ISSN/ISBN		SN/ISBN	Name of the Publisher			
James Mil			Statistics for Data Science: Leverage the power of statistics for Data Analysis, Classification, Regression, Machine Learning, and Neural Networks		3290674	Packt			
Ben Jones	3	Communic with Tablea		June 2014/ 978-1-449- 37202-6		O'Reilly M	edia		
John Paul	Mueller	Beginning Programmi Python For		2014/ 978-1-118-89145-2		John Wiley & Sons,			
Reference	e Books:								
Sinan O	zdemir	Principles of Science: Lo techniques you need to making ser data	earn the and math o start	2016/ B01A8T8YNC		2016/ B01A8T8YNC Packt		Packt	
End Semo	ester Exami hrs.	nation Sch	eme.	 Maximum N	Marks-70.	<u> </u> 	ime		
Group	Unit	Objective Questions (MCQ only with the correct answer)		ons Subjective Question		Questions			
		No of question to be set	Total Marks	No of question to be set	To answer	Marks per question	Total Marks		
Α	1,2,3, 4	10	18	3	2	4	52		
В	4,5, 6, 7,	10		4	3	_			

- Only multiple choice type question (MCQ) with one correct answer are to be set in the objective part.
- Specific instruction to the students to maintain the order in answering objective questions should be given on top of the question paper.

	ples of Management	anking and Financial Services				
Course Code:		Semester: 4				
Duration:30	M	laximum Marks: 100				
Teaching Sch	eme E	xamination Scheme				
Theory:5 hours	ours per week End Semester Exam: 70					
Tutorial:1 hour		Teacher's Assessment: 10				
Practical:	In	nternal Assessment: 20				
Credit: 6	P	Practical Sessional internal continuous evaluation:				
	P	ractical Sessional external examina	tion:			
Aim:						
SI. No.						
1	Understanding the nat	ture of Management, Planning and De	cision-m	aking		
2		ween effective management and good				
				•		
Objective:	ı					
SI. No.						
1	Gain an insight to effe	Gain an insight to effective management skills for better leadership				
2	To understand how direction, control, planning, decision-making and					
	coordination mounts to		Ū			
		,				
Pre-Requisite:						
SI. No.						
1	NA					
Contents	ı		Hrs./w	eek		
Chapter	Name of the Topic		Hour	Marks		
•	•		s			
01 Nature of	Concept. Signi	ificance, Role & Skills, Levels of	6	15		
Management		Concepts of PODSCORB,				
	Managerial Gr					
	_	anagement thoughts, Contribution of				
		enri Fayol and Contingency				
	Approach.	ay o. aa •gy				
	1 1					
02 Planning	Meaning of Pla	anning, its importance, elements,	8	15		
and Decision		itions and MBO.	-			
Making		ecision Making, its importance,				
			1			
]	process and te					

Syllabus of BBA Banking and Financial Services (Effective from academic session 2021-22)

03 Organizing	 Organizing: Concepts, Structure (Formal & Informal, Line & Staff and Matrix), Meaning, Advantages and Limitations Departmentation: Meaning, Basis and Significance Span of Control: Meaning, Graicunas Theory, Factors affecting span of Control Centralization Vs Decentralization Delegation: Authority & Responsibility relationship 	8	15
04 Directing, Leadership, Co-ordination and Controlling	 Directing: Meaning and Process Leadership: Meaning, Styles and Qualities of Good Leader Co-ordination as an Essence of Management Controlling: Meaning, Process and Techniques Recent Trends: Green Management & CSR 	8	25
	Sub Total:	30	70
	Internal Assessment Examination & Preparation of Semester Examination		30
D (;)	Total:		100

Practical:

Skills to be developed:

Intellectual skills:

- 1. Organisation and delegation.
- 2. Forward planning and strategic thinking.
- 3. Problem solving and decision-making.
- 4. Commercial awareness.
- 5. Mentoring.
- 6. Interpersonal skills.
- 7. Communication

Motor Skills:

- 1. Interpersonal skills.
- 2. Communication

List of Practical: SI. No. 1& 2 compulsory & at least three from the rest)

- 1. Organisation and delegation.
- 2. Forward planning and strategic thinking.
- 3. Problem solving and decision-making.
- 4. Interpersonal skills.
- 5. Communication

List of Books

Text Books:

Name of Author	Title of the Book	Edition/ISSN/ISBN	Name of the
			Publisher

Harold Koo Heinz Weil		Essentials of Management: An International, Innovation and Leadership Perspective		2015 / 978- 9339222864		McGraw Hill Education	
Reference	Books:						
Peter F. D	rucker	The Practice Management Paperback		2006 / 978- 0060878979 Harper Busines		siness	
End Seme		nation Sche	me.	Maximum M	larks-70.	Ti	me
Group	Unit	Objective (MCQ only v		Subjective Questions			
		No of question to be set	Total Marks	No of question to be set	To answer	Marks per question	Total Marks
Α	1,2,3, 4	10	18	3	2	4	52
В	4,5, 6, 7, 8	10	10	4	3	4	52

- Only multiple choice type question (MCQ) with one correct answer are to be set in the objective
 part.
- Specific instruction to the students to maintain the order in answering objective questions should be given on top of the question paper.

	ourse: Bachelors in Bar chain Technology and P	nking and Financial Services Practical Use Cases		
		mester: 1		
Duration: 30	Ma	aximum Marks: 100		
Teaching Sch		amination Scheme		
Theory: 5 hour		d Semester Exam: 70		
Tutorial:1 hou		acher's Assessment: 10		
Practical:	•	ernal Assessment: 20		
Credit: 6	Pra	actical Sessional internal continuo	us evalu	ation:
	Pra	actical Sessional external examina	tion:	
Aim:				
SI. No.				
1	To appreciate the funct	tionality of Blockchain technologies		
		,		
Objective:				
SI. No.				
1	To be able to apply blockchain in traditional business contexts			
	11.7			
Pre-Requisite:				
SI. No.				
1	Basic knowledge of Ec	onomics		
	<u>_</u>			
Contents			Hrs./we	ek
Chapter	Name of the Topic		Hour	Marks
onapto:	rtaine or the reple		s	marko
01	How it began as	nd the blockchain landscape: size	6	15
Understandin	•	geographies and major players.		.0
g the		logy but many: key business		
technology	protocols and h			
toomiology		es: security, privacy, preservation of		
	rights.	es. security, privacy, preservation of		
		cation framework: challenges and		
		egration and implementation.		
02 Applying		·	6	15
02 Applying blockchain in		ustain: How is blockchain being	U	10
traditional		value for business across sectors,		
business		business models?		
contexts		hain the answer? Ideal use cases		
COLLEYE	and criteria: bio databases.	ckchain vs. traditional distributed		
	ualabases.			

Syllabus of BBA Banking and Financial Services (Effective from academic session 2021-22)

Sub Total: Internal Assessment Examination & Prepar	30 ration of	70 30
	30	70
 Where is it all going? Expert analy core uses and new areas. What are the risks, challenges and evelopments, directions and challenges 	d solutions? blockchain	10
 The business case for a blockchai learn how to identify and manage and challenges and how to assess value. Organisational implementation chaemerging solutions: build stakehol senior leadership support. Reviewing shared governance mounderstanding the regulatory envir 	risks, problems s likely business allenges and lder buy-in and odels and ronment.	15
 Action principles for managing blo business value. Creating an action plan for your buthoughts and faculty input. How can blockchain services, plat infrastructures innovate distributed models? Analysis of case studies, including crowdfunded ICO startups, smart eco-system resource exchanges, innovation in your business or wor 	usiness: initial forms and 6 d business g crypto-tokens, contracts and to inform	15

List of Books Text Books:

Name of Author	Title of the Book	Edition/ISSN/ISBN	Name of the Publisher
Tailor Jacobs	Blockchain: A Step- by-step Guide for Beginners to Implementing Blockchain Technology and	2017 / 978- 1548009595	Createspace Independent Pub

В	4,5, 6, 7, 8	10		4	3	T		
A	1,2,3, 4	10	18	3	2	4	52	
_	4224	to be set		to be set	2		E0	
		question	Marks	question		question	Marks	
		No of	Total	No of	To answer	Marks per	Total	
		correct answ	. /					
•		(MCQ only v	with the	•				
Group	Unit	Objective	Questions	Subjective Questions				
End Semester Examination Scheme. allotted-3hrs.			eme.	Maximum Marks-70. Time				
		NA						
Sl. No.			-	-	-	-		
	uipment/ap	paratus for I	aboratory e	xperiments	s:			
Christophe	Christophe Uzureau			163369804	18	Review Pre	ess	
David Furlonger;		The Real Bu	The Real Business of 2019/ 978-			Harvard Business		
Reference	Books:	I		I		<u>I</u>		
		i rogrammi	<u>'9</u>					
		Leveraging Programmir						
Í		Lavaranina	Diaglasia					

- Only multiple choice type question (MCQ) with one correct answer are to be set in the objective part.
- Specific instruction to the students to maintain the order in answering objective questions should be given on top of the question paper.

Name of the Cours		Banking and Financial Services				
Course Code: BBABFS405 - C		Semester: 4				
Duration: 30		Maximum Marks: 100				
Teaching Scheme		Examination Scheme				
Theory: 5 hours pe		End Semester Exam: 70				
Tutorial:1 hours pe		Teacher's Assessment: 10				
Practical:		Internal Assessment: 20				
Credit: 6		Practical Sessional internal continuo	us evalu	ation.		
		Practical Sessional external examina				
Aim:		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1				
SI. No.						
1	To appreciate	the role of Macroeconomics				
•	10 approdute	the fole of Madredoonleffinds				
Objective:	<u> </u>					
SI. No.						
1	To be able to a	apply macroeconomic concepts				
	TO DO ADIO to t	apply madroodenemic democpts				
Pre-Requisite:						
SI. No.	T					
3i. No.	Pagia knowlad	ge of Economics				
<u> </u>	basic kilowieu	ge of Economics				
Contents			Hrs./we	ek		
Chapter	Name of the T	Tonic	Hour	Marks		
- Griaptor	Traine or the	- Opio	s	manto		
01 Monetary	Evolution	on of money, Functions of money, Bank	6	14		
Economics		functions, Indian money		1 T		
Loononios		, Commercial banks – need and				
		ns, Reserve Bank of India – need and				
		ns, Price indices –				
	1	•				
		nd limitations, Inflation – cost push, d pull, causes, effects and control,				
		ation, Quantity				
		of money.				
OO National			6	4.4		
02 National		ots and definitions, Gross National	6	14		
Income		et (GNP), Gross Domestic Product				
		Net National Product and Net				
		tic Product, Personal Income,				
		able Income and Per Capita				
		e, Measurement of National Income,				
	ractors	s that determine size of National Income				

03 Public		•				
	Finance	 Public Revenu Debt, Fiscal Potential Indian Public Fistate government public expendition India, heads of the need and types 	and f	6	14	
04 International Trade and Finance		 Need for international trade, International Monetary Fund (IMF), International Bank for Reconstruction and Development (IBRD), Asian Development Bank, World Bank, Balance of Payments, Exchange Rate Mechanism, Gains from international trade, India and World Trade Organisation 				14
05 Economic Development and Welfare		and developedeconomics, DePre and Post LIndian Capital				
		b Total:			30	70
		ernal Assessment Examinester Examination	ination & Preparation of			30
	Tot			+		100
List of Books Text Books: Name of Author Title of the Book R. Dombusch & S. Macroeconomics				•		
Name of A	ks: Author		Edition/ISSN/ISBN	Publ	e of t lisher	,
Text Boo Name of A R. Dombu Fisher	ks: Author usch & S.			Publ	lisher	,
Name of A	ks: Author usch & S. e Books:		2018 /	Publ McG	raw-H	,
R. Dombu Fisher Reference	ks: Author usch & S. e Books: asgupta:	Macroeconomics	2018 / 9781259290633	Publ McG	raw-H	Hill

		No of question to be set	Total Marks	No of question to be set	To answer	Marks per question	Total Marks
Α	1,2,3, 4	10	40	3	2	4	52
В	4,5, 6, 7, 8	10	18	4	3	4	

- Only multiple choice type question (MCQ) with one correct answer are to be set in the objective part.
- Specific instruction to the students to maintain the order in answering objective questions should be given on top of the question paper.