Subject: Corporate Banking Operations Course Code: BBABFS601 Semester: 6 Duration:30 HOURS Maximum Marks: 100 Teaching Scheme Examination Scheme Theory: 5 hours per week End Semester Exam: 40 Tutorial: 1 hours per week Internal Assessment: 20 Practical:	Name of the C	ourse: Bachelors in	Banking and Financial Services		
Duration:30 HOURS Maximum Marks: 100 Teaching Scheme Examination Scheme Examination Scheme End Semester Exam: 40 Tutorial:1 hours per week Teacher's Assessment: 20 Teacher's Assessment: 40 T					
Teaching Scheme Theory: 5 hours per week Theory: 5 hours per week Teacher's Assessment: 20 Practical: Internal Assessment: 40 Credit: 6 Practical Sessional internal continuous evaluation: Practical Sessional external examination: Aim: SI. No. 1. Build a foundational understanding of the Functioning of Banks 2. Establish a link between various components of the Banking System Objective: SI. No. 1. Basics of Banking Pre-Requisite: SI. No. 1. Basics of Banking Contents Chapter Name of the Topic Pre-Requisite: SI. No. 1. Basics of Banking Other to Corporate Banking clients and their banking needs Typical Corporate Banking businesses and their inter relationships Other teacher the Sessional external examination: Practical Sessional internal continuous evaluation: Practical Sessional internal con					
Theory: 5 hours per week Tutorial: 1 hours per week Teacher's Assessment: 20 Practical: Internal Assessment: 40 Credit: 6 Practical Sessional internal continuous evaluation: Practical Sessional external examination: Aim: SI. No. 1. Build a foundational understanding of the Functioning of Banks 2. Establish a link between various components of the Banking System Objective: SI. No. 1. Basics of Banking Pre-Requisite: SI. No. 1. Basics of Banking Contents Chapter Name of the Topic Hour Marks S 01 • Corporate Banking clients and their banking needs • Typical Corporate Banking businesses and their inter relationships 02 Know- • Establish customer identity 5 12					
Tutorial:1 hours per week Practical: Internal Assessment: 20 Internal Assessment: 40 Credit: 6 Practical Sessional internal continuous evaluation: Practical Sessional external examination: Aim: SI. No. 1. Build a foundational understanding of the Functioning of Banks 2. Establish a link between various components of the Banking System Objective: SI. No. 1. Basics of Banking Pre-Requisite: SI. No. 1. Basics of Banking Contents Chapter Name of the Topic Hrs./week Chapter Name of the Topic Fypical Corporate Banking clients and their banking needs of Typical Corporate Banking businesses and their inter relationships 02 Know- Establish customer identity 5 12					
Practical: Credit: 6 Practical Sessional internal continuous evaluation: Practical Sessional external examination: Aim: SI. No. 1. Build a foundational understanding of the Functioning of Banks 2. Establish a link between various components of the Banking System Objective: SI. No. 1. Pre-Requisite: SI. No. 1. Basics of Banking Contents Chapter Name of the Topic Corporate Banking clients and their banking needs inter relationships 1. Typical Corporate Banking businesses and their inter relationships 02 Know- Establish customer identity 5 12					
Practical Sessional internal continuous evaluation: Practical Sessional external examination: Aim: Sl. No.		s per week	1		
Aim: SI. No. 1. Build a foundational understanding of the Functioning of Banks 2. Establish a link between various components of the Banking System Objective: SI. No. 1. Basics of Banking Contents Chapter Name of the Topic • Corporate Banking clients and their banking needs Inter relationships • Establish customer identity 5 12					4 !
Aim: SI. No. 1. Build a foundational understanding of the Functioning of Banks 2. Establish a link between various components of the Banking System Objective: SI. No. 1. Basics of Banking Contents Chapter Name of the Topic Hour S Introduction to Corporate Banking • O1 Establish customer identity 5 12	Credit: 6		1		lation:
SI. No. 1. Build a foundational understanding of the Functioning of Banks 2. Establish a link between various components of the Banking System Objective: SI. No. 1. Pre-Requisite: SI. No. 1. Basics of Banking Contents Chapter Name of the Topic O1 Introduction to Corporate Banking clients and their banking needs inter relationships O2 Know- Establish customer identity 5 12	A !		Practical Sessional external examina	ition:	
1. Build a foundational understanding of the Functioning of Banks 2. Establish a link between various components of the Banking System Objective: SI. No. 1. Pre-Requisite: SI. No. 1. Basics of Banking Contents Chapter Name of the Topic O1 Introduction to Corporate Banking clients and their banking needs inter relationships • Typical Corporate Banking businesses and their inter relationships • Establish customer identity 5 12					
2. Establish a link between various components of the Banking System Objective: SI. No. 1. Pre-Requisite: SI. No. 1. Basics of Banking Contents Chapter Name of the Topic Hour S Name of the Topic O1		D 11 6 1 6			
Objective: SI. No. 1. Pre-Requisite: SI. No. 1. Basics of Banking Contents Chapter Name of the Topic O1 Corporate Banking clients and their banking needs inter relationships Typical Corporate Banking businesses and their inter relationships Typical Corporate Banking businesses and their inter relationships Establish customer identity 5 12					
SI. No. 1. Pre-Requisite: SI. No. 1. Basics of Banking Contents Chapter Name of the Topic Hour Marks s 01 Corporate Banking clients and their banking needs Introduction to Corporate Banking • 02 Know- Establish customer identity 5 12	2.	Establish a link bet	ween various components of the Banking	g System	
SI. No. 1. Pre-Requisite: SI. No. 1. Basics of Banking Contents Chapter Name of the Topic Hour Marks s 01 Corporate Banking clients and their banking needs Introduction to Corporate Banking • 02 Know- Establish customer identity 5 12					
SI. No. 1. Pre-Requisite: SI. No. 1. Basics of Banking Contents Chapter Name of the Topic O1 Corporate Banking clients and their banking needs Introduction to Corporate Banking • Typical Corporate Banking businesses and their inter relationships • Establish customer identity 5 12	01 : (:				
Pre-Requisite: SI. No. 1. Basics of Banking Contents Chapter Name of the Topic • Corporate Banking clients and their banking needs Introduction to Corporate Banking • Typical Corporate Banking businesses and their inter relationships • Establish customer identity 5 12					
Pre-Requisite: SI. No. 1. Basics of Banking Contents Chapter Name of the Topic • Corporate Banking clients and their banking needs Introduction to Corporate Banking • 02 Know- • Establish customer identity 5 12					
SI. No. 1. Basics of Banking Contents Chapter Name of the Topic • Corporate Banking clients and their banking needs Introduction to Corporate Banking • 02 Know- Establish customer identity 5 12	1.				
SI. No. 1. Basics of Banking Contents Chapter Name of the Topic • Corporate Banking clients and their banking needs Introduction to Corporate Banking • 02 Know- Establish customer identity 5 12					
SI. No. 1. Basics of Banking Contents Chapter Name of the Topic • Corporate Banking clients and their banking needs Introduction to Corporate Banking • 02 Know- Basics of Banking Hrs./week Hour Sand Sand Sand Sand Sand Sand Sand Sand					
SI. No. 1. Basics of Banking Contents Chapter Name of the Topic • Corporate Banking clients and their banking needs Introduction to Corporate Banking • 1. Basics of Banking Hrs./week Hour Sand Sand Sand Sand Sand Sand Sand Sand					
1. Basics of Banking Contents Chapter Name of the Topic • Corporate Banking clients and their banking needs Introduction to Corporate Banking • 1. Basics of Banking Hrs./week Hour S • Marks • Typical Corporate Banking businesses and their inter relationships • Establish customer identity 5 12					
Contents Chapter Name of the Topic O1 Introduction to Corporate Banking • D2 Know- Name of the Topic • Corporate Banking clients and their banking needs • Typical Corporate Banking businesses and their inter relationships • Establish customer identity 5 Hrs./week Hour s Far inter./week					
Chapter Name of the Topic Hour S O1 • Corporate Banking clients and their banking needs • Typical Corporate Banking businesses and their inter relationships O2 Know- • Establish customer identity 5 12	1.	Basics of Banking			
Chapter Name of the Topic Banking clients and their banking needs O1 Orporate Banking clients and their banking needs Typical Corporate Banking businesses and their inter relationships O2 Know- Establish customer identity 5 12					
Chapter Name of the Topic Hour S O1 • Corporate Banking clients and their banking needs • Typical Corporate Banking businesses and their inter relationships O2 Know- • Establish customer identity 5 12					
01				_	eek
Introduction to Corporate Banking • Typical Corporate Banking businesses and their inter relationships 8	Chapter	Name of the Topic	C		Marks
Introduction to Corporate Banking businesses and their inter relationships Banking • Establish customer identity 5 12	01	Corporate B	Banking clients and their banking needs	5	12
to Corporate Banking • inter relationships 02 Know- • Establish customer identity 5 12	Introduction				
Banking •	to Corporate				
			•		
, , , , , , , , , , , , , , , , , , , ,	02 Know-	Establish co	ustomer identity	5	12
Your-Client • Understand the nature of the customer's activities	Your-Client		•		
(KYC) and (primary goal is to satisfy that the source of the	(KYC) and				
client on- customer's funds is legitimate)					
boarding		3401011101 0			
process		İ			

Syllabus of BBA Banking and Financial Services (Effective from academic session 2021-22)

Total:		100
Internal Assessment Examination & Preparation of		60
Sub Total:	30	40
Back-to-Back LCPayment at Sight LC.		
o Transferable LC		
Revocable LC		
o Irrevocable LC		
Cash Credit.		
 Working Capital Limits like Overfraft and 		
		10
	5	10
Payments Messaging Standards	5	12
	5	12
O a la Flance Obstance and	-	40
Credit Risk and Limit Management		
	3	12
	5	12
that customer for purposes of monitoring the		
	customer's activities Commercial Loan Origination Collateral and Limits Management Retail Loan Origination Credit Risk and Limit Management Cash Flow Statement Internal Controls Ratios Payments Messaging Standards SWIFT ISO20022 Trade Finance Products Term Loans. Working Capital Limits like Overfraft and Cash Credit. Letters of Credit. Invoice Discounting or Invoice Factoring. Export Credit Irrevocable LC Revocable LC Revocable LC Confirmed LC Confirmed LC Back-to-Back LC Payment at Sight LC. Sub Total: Internal Assessment Examination & Preparation of Semester Examination	customer's activities Commercial Loan Origination Collateral and Limits Management Retail Loan Origination Credit Risk and Limit Management Cash Flow Statement Internal Controls Ratios Payments Messaging Standards SWIFT ISO20022 Trade Finance Products Term Loans. Working Capital Limits like Overfraft and Cash Credit. Letters of Credit. Invoice Discounting or Invoice Factoring. Export Credit Irrevocable LC Revocable LC Revocable LC Confirmed LC Dunconfirmed LC Payment at Sight LC. Sub Total: Internal Assessment Examination & Preparation of Semester Examination

Practical:

Skills to be developed:

Intellectual skills:

1.

Motor Skills:

1.

List of Practical: Sl. No. 1& 2 compulsory & at least three from the rest)

1.

2.

3. 4. Assignme	ents:						
List of Bo Text Book							
Name of A		Title of the	Book	Edition/IS	SSN/ISBN	Name of t	
Migdadi Yazan Khalid Abed-Allah Strategy: A Proposed Configuration		2014/ 978- 3659508745		Lambert			
Reference	Books:						
IIBF		Corporate B	Banking	2014 / 978 023032194		Macmillan	
List of equ	uipment/ap	paratus for	laboratory (experiment	s:		
1.		NA					
End Seme		ination Sch	eme.	Maximum I	Marks-70.	Ti	ime
Group	Unit	(MCQ only	Objective Questions (MCQ only with the correct answer) Subjective Questions				
		No of question to be set	Total Marks	No of question to be set	To answer	Marks per question	Total Marks
Α	1,2,3, 4	10	18	3	2	4	52
В	4,5, 6, 7, 8	10		4	3		
• Onl		oice type ques	stion (MCQ) v	vith one corre	ect answer are	to be set in the	ne objective

- part. Specific instruction to the students to maintain the order in answering objective questions should be given on top of the question paper.

		Banking and Financial Services		
Course Code	ers and Acquisitions			
	BBABFS602	Semester: 6		
Duration: 30		Maximum Marks: 100		
Teaching Sch		Examination Scheme		
Theory: 5 hou		End Semester Exam: 70		
Tutorial:1 hou	rs per week	Teacher's Assessment: 10		
Practical:		Internal Assessment: 20		
Credit: 6		Practical Sessional internal continuo		ıation:
		Practical Sessional external examina	tion:	
Aim:				
SI. No.				
1	Gain a deeper und	erstanding of the MnA pocess		
	·			
Objective:	I .			
SI. No.				
1	To be able to apply	valuation techniques		
2	To be able to apply valuation techniques To be able to deal with post merger challenges			
	TO be able to deal	with post merger challenges		
Dra Daguiaita	_			
Pre-Requisite	:			
SI. No.				
1	Basic knowledge o	f Economics		
Contents			Hrs./w	eek
Chapter	Name of the Topic	C	Hour	Marks
			S	
01 Valuation-	Considering	g valuation through the lens of a	8	18
1		ather than a producer		
		ling the discounted cash flow (DCF)		
	valuation			
	Applying co	omparable company analysis (CCA)		
		omparable company analysis (CCA)		
	Analysing fi	inancial statements and financial plans		
	Analysing fiEvaluating	inancial statements and financial plans corporate and management		
	Analysing fiEvaluating performance	inancial statements and financial plans corporate and management e		
	Analysing fiEvaluating performanceNegotiation	inancial statements and financial plans corporate and management e		
	 Analysing fi Evaluating performance Negotiation Building a result of the performance 	inancial statements and financial plans corporate and management te		
	 Analysing fi Evaluating performance Negotiation Building a roption general 	inancial statements and financial plans corporate and management se negotiation toolkit around interest and ceration		
	 Analysing fi Evaluating performance Negotiation Building a roption gene Using the B 	inancial statements and financial plans corporate and management se negotiation toolkit around interest and eration sest Alternative To a Negotiated		
	 Analysing fi Evaluating performance Negotiation Building a roption gene Using the Bagreement 	inancial statements and financial plans corporate and management is in the corporate and management in the corporate and interest and corporation in the corporation is a Negotiated (BATNA) assessment to achieve a		
	 Analysing fi Evaluating performance Negotiation Building a roption gene Using the B 	inancial statements and financial plans corporate and management is in the corporate and management in the corporate and interest and corporation in the corporation is a Negotiated (BATNA) assessment to achieve a		
02 Valuation-	 Analysing fi Evaluating performance Negotiation Building a roption general option general Agreement better botto 	inancial statements and financial plans corporate and management is in the corporate and management in the corporate and interest and corporation in the corporation is a Negotiated (BATNA) assessment to achieve a	8	18

Syllabus of BBA Banking and Financial Services (Effective from academic session 2021-22)

	Total:		100
	Internal Assessment Examination & Preparation of Semester Examination		30
	Sub Total:	30	70
04 Post- merger management	 Ensuring the best operational and cultural fit Managing the integration of accounting systems Structuring reporting lines and responsibilities Selecting the right person to lead the integration team 	6	16
03 Strategy	 Achieving strategic clarity Understanding deal process design Managing auction processes Using sell-side and buy-side strategies Stress-testing the business model 	8	18
	 Considering the ethical and legal repercussions to ensure a win-win outcome Finance Optimizing tax benefits Structuring earn-outs in private company deals Identifying the right context for using earn-outs Examining government influence and legal issues Exploring regulatory and cultural barriers 		

Practical:

Skills to be developed:

Intellectual skills:

Motor Skills:

List of Practical: SI. No. 1& 2 compulsory & at least three from the rest)

Assignments:

List of Books Text Books:

Name of Author	Title of the Book	Edition/ISSN/ISBN	Name of the Publisher
Bill Snow	Mergers & Acquisitions For Dummies	2018 / B07H15BP1V	Wiley
Reference Books:			
Donald DePamphilis	Mergers, Acquisitions, and Other	2019 / 978- 0128150757	Academic Press Inc

		Restructurir Activities: A Integrated A to Process, Cases, and	.n Approach Tools,				
	ıipment/ap	paratus for l	aboratory e	experiments	S:		
SI. No.							
		NA					
End Seme allotted-3h		nation Sche	eme.	Maximum N	/larks-70.	Ti	me
Group	Unit	Objective (MCQ only v correct answ			Subjective	Questions	
		No of question to be set	Total Marks	No of question to be set	To answer	Marks per question	Total Marks
Α	1,2,3, 4	10		3	2		52
			18			4	
В	4,5, 6, 7, 8	10		4	3		

- Only multiple choice type question (MCQ) with one correct answer are to be set in the objective part.
- Specific instruction to the students to maintain the order in answering objective questions should be given on top of the question paper.

Name of the Course: Bachelors Subject: Portfolio Management	s in Banking and Financial Services
Course Code: BBABFS603 - A	Semester: 6
Duration: 30	Maximum Marks: 100
Teaching Scheme	Examination Scheme
Theory: 5 hours per week	End Semester Exam: 70
Tutorial:1 hours per week	Teacher's Assessment: 10
Practical:	Internal Assessment: 20
Credit: 6	Practical Sessional internal continuous
	evaluation:
	Practical Sessional external examination:
Aim:	
SI. No.	

1	Understand the role and importance of efficient portfolio Management		
Objections			
Objective: Sl. No.			
SI. NO.	Create and resintain a halamand investment neutfalia		
<u> </u>	Create and maintain a balanced investment portfolio		
Pre-Requisite:			
SI. No.			
1	Basic knowledge of capital markets		
	<u> </u>		
Contents		Hrs./we	ek
Chapter	Name of the Topic	Hour	Marks
		S	
01 Modern	Portfolio management and types of fund management	6	14
Portfolio	Understanding of risk and reward (returns)		
Theory	Value at Risk		
	Diversification as a key to effective portfolio management Portfolio management services in India		
	Fortiono management services in mula		
00 Data and	Understand the concept and use of Comment Data in	6	4.4
02 Beta and Risk	Understand the concept and use of Company Beta in Capital Markets.	6	14
Estimation	The concept of Ordinary Least Square method.		
Latination	The difference between systematic and non-systematic		
	risks.		
	The OLS variables, the Alpha, the Beta and the Error.		
	Measuring stochastic disturbance and non-systematic risks.		
03 Capital	Capital asset pricing model and its relevance in calculating	6	14
Asset Pricing	the risk and returns on a portfolio.	•	
Model	The relevance of anticipated (expected) returns against the		
	framework of CAPM.		

End Semester Time allotted-	_	ination Scheme. Ma	⊥ ximum Marks-70.			
by Benjamin G (Author), David Dodd (Author)	raham	Security Analysis	2017/ 978- 0070140653	Мс	Graw Hi	ll Educatio
Poforonce Po	oke:					
Kevin S		Security Analysis And Portfolio Management	2015/ 978- 8120351301	PH	I Learnii	ng Pvt Ltd
Text Books: Name of Author	or	Title of the Book	Edition/ISSN/ISB		me of th blisher	е
List of Books	Total	<u> </u>				100
	of Ser	al Assessment Examinat mester Examination	lion & Preparation			30
	Sub T		ian 9 Duan		30	70
Constructi on	Learn Learn	mentals. stock picking based on f sector based stock picki some statistical tools su	ng.	at		
05 Portfolio	Learn	some simple stock picking stock picking based on o		ic	6	14
Funds	invest Under The ro Calcu	ve a clear understanding ment options. rstand the different types of AMC and corpus of late basic performance range Traded Funds	0	14		
04 Mutual	To ha	ve a clear understanding	on mutual fund as an		6	14
	The m	cope for diversification in nethod used for the calcu lio diversification.		and		

Syllabus of BBA Banking and Financial Services (Effective from academic session 2021-22)

Group	Unit	Objective Questions only with th correct answer)		S	Subjective	Questions	
		No of question to be set	Total Marks	No of question to be set	To answer	Marks per question	Total Marks
АВ	1,2,3, 4 4,5, 6, 7, 8	10	18	3	3	4	52

Only multiple choice type question (MCQ) with one correct answer are to be set in the objective part.

Specific instruction to the students to maintain the order in answering objective questions should be given on top of the question paper.

Course: Bachelors in Etion in Financial Marke	Banking and Financial Services ets		
e: BBABFS603 - B	Semester: 6		
	Maximum Marks: 100		
eme	Examination Scheme		
s per week	End Semester Exam: 40		
rs per week	Teacher's Assessment: 20		
	Internal Assessment: 40		
	Practical Sessional internal co evaluation:	ontinuous	
	Practical Sessional external examination:		
	·		
Build a foundational applicable to the F	al understanding of the taxation inancial Markets		
111			
:			
Basic knowledge of	Economics		
		Hrs /w	eek
Name of the Tonic		_	Marks
ao or the ropic		s	ai Ko
o Direction of Indirection of Indire	et taxes ect taxes et taxes t the literature e important fiscal nalities metric vs. asymmetric tries set of tax instruments mobility and imperfect	8	18
	e: BBABFS603 - B eme s per week rs per week Build a foundationa applicable to the F NA NA Name of the Topic Policy issues Olired Indire A first look a Olired exter Symr count oliphes Firm	Maximum Marks: 100 eme	tion in Financial Markets e: BBABFS603 - B Maximum Marks: 100

	o objectiveso Further issue	es					
02 Introduction to capital tax competition	 Residence- vs. sour Capital and labour to economy †ax competition with taxes 	 Capital and labour taxes in a smallopen economy †ax competition with source-basedcapital taxes 					
03 Capitaltax competition and country size	 A basic model of asy competition Asymmetric capital that instruments Numerical analysis Asymmetric tariff co The 'third-country procoordination of capital 	8	18				
04 Taxes impacting Equity Transactions in India	Capital Gains Tax	()					
	Out Takel			70			
	Sub Total: Internal Assessment Examir of Semester Examination	nation & Preparation	30	70 30			
	Total:			100			
List of Books Text Books:							
Name of Auth	or Title of the Book	Edition/ISSN/ISB N	Name of the Publisher				
Tony Rumble	Taxation of Equity Derivatives and Structured Products	2002 / 978- 1403903396	Palgrave Macmillan				
Reference Bo	oks:	·					
Paolo Roberti	Financial Markets and Capital IncomeTaxation in a Global Economy (2000/ 978- 0444822062	Elsilver				

End Seme Time allott		nation Scheme).		Maximum	Marks-70.	
Group	Unit	Objective Questions (MCQ only with the correct answer)	ı	S	Subjective (Questions	
		No of	Total	No of	То	Marks	Total
		question to be set	Marks	questionto be set	answer	per question	Marks
A	1,2,3, 4	10	18	3	3	4	40
В	4,5, 6, 7, 8			4	3		
obje □ Spe	ective part. cific instruction uld be given	or to the students on to the students on top of the ques	s to mainta				

Name of the Course Subject: Behavio	: Bachelors in Banki ral Finance	ing and Financial Services		
Course Code: BBAI	BFS603 - C	Semester: 6		
Duration: 30		Maximum Marks: 100		
Teaching Scheme		Examination Scheme		
Theory: 5 hours per v	veek	End Semester Exam: 70		
Tutorial:1 hours per v	week	Teacher's Assessment: 10		
Practical:		Internal Assessment: 20		
Credit: 6		Practical Sessional internal continuous evaluation:		
		Practical Sessional external examination:		
Aim:				
SI. No.				
1	Build a foundation	onal understanding of Behavioral		
Objective:				
SI. No.				
1	Explore the role of	f Cognitive Biases		
Pre-Requisite:				
SI. No.				
1	Basic knowledge	of Investments		
Contents			Hrs./w	eek
Chapter	Name of the Top	ic	Hour s	Marks
01: Introduction to Behavioral Finance	The Histor	ehavioral Finance? ry of BehavioralFinance on to BehavioralBiases	5	14
02: Belief Perseverance Biases	ConservatConfirmat		5	14

	Illusion of ConHindsight Bias					
03: Information Processing Biases	 Mental Account Anchoring and Framing Bias Availability Bias Self-Attribution Outcome Bias Recency Bias 	Ę	5	14		
04: Emotional Biases	OverconfidenceSelf-Control BeStatus Quo BiEndowment Be	 Overconfidence Bias Self-Control Bias Status Quo Bias Endowment Bias Regret Aversion Bias 				
05: Applicationof Behavioral Finance to Asset Allocation	 Practical appli behavioral fina Allocation Gui practical Asse Quantitative g Behavioral fina Investment posallocation 		5	14		
	Sub Total:		3	30	70	
	Internal Assessment E Preparation of Semest				30	
List of Books	Total:				100	
Text Books:						
Name of Author	Title of the Book	Edition/ISSN/ISB N	Name Publi		ne	
James Montier	The Little Bookof Behavioral Investing: How not to be your own worst enemy:	2010 / 978- 0470686027	Wiley			
Reference Books:						
Michael M. Pompian	Behavioral Finance and	2012/ 978- 1118014325	Wiley	1		

		Wealth Management: How to Build Investmer Strategies That Account for Investor Biases nation Scheme.			Maximum I	Marks-70.	
Time allot							
Group	Unit	Objective Questions (MCQ only withthe correct answer) No of question	То	No of	ubjective Q	Marks per	Total
		tobe set	tal M a rk s	questionto be set	answer	question	Marks
Α	1,2,3,	10		3	2		52
_	4	40	18	_		4	
В	4,5, 6, 7, 8	10		4	3		
obj∈ □ Spe	ective part. ecific instructi uld be given	or to the students to on top of the question	mainta				

	ourse: Bachelors in Bank luction to Robo-Advisory	ing and Financial Services			
	e: BBABFS604 - A	Semester: 6			
Duration: 30		Maximum Marks: 100			
Teaching Sch		Examination Scheme			
Theory: 5 hour		End Semester Exam: 70			
Tutorial:1 hou	rs per week	Teacher's Assessment: 10			
Practical:		Internal Assessment: 20			
Credit: 6		Practical Sessional internal continuous evaluation:			
		Practical Sessional external examination:			
Aim:		1			
SI. No.					
1	Build a foundational understanding of the functioning of Robo Advisory Services				
Objective: Sl. No.	Describing the functionin	g of Robo Advisory Services			
Pre-Requisite SI. No.	: Basic knowledge of Inves	stments			
Contents			Hrs./w	1	
Chapter	Name of the Topic		Hour s 8	Marks	
01 Introducti on to Robo Advisory	 Smart beta and Robo advisors Signal, noise, randomness Markets and basic measurements of performance, direction and volatility Comparing strategies 			18	
02 Systematic Trading	Futures MarketsSystematic tradin trend systems	ng: Trend FollowingSystems & g: Trend andCounter-	8	18	

		•	Technical trading		ads and pair	strading in			
03 Building Strategies	3	•	 Pairs trading review Fundamentals and currency trading strategies Currencies: Flow-based strategies andcarry trades; 					8	18
04 High Frequency Trading	/	•	 Machine Learning and Artificial Intelligence in Financial Prediction High frequency trading 					6	16
		Sub T	otal:					30	70
		Interna	al Assessment Exa nester Examination	minatio	on & Prepara	tion			30
		Total:		1					100
List of Bo									
Name of A	Autho	r	Title of the Book				-	me of the Iblisher	
Perry J. Kaufman			Trading Systems and Methods	2019 / 978- 1119605355 W			Viley		
Referenc	e Bool	ks:							
Rishi K. N			Inside the BlackB The Simple Truth About Quantitativ Trading)	2009 / 978 0470432068		Joh Sor	n Wiley is	y &
							<u> </u>		
Time allo			nation Scheme.			Maximum	Mark	s-70.	
Group Unit		Ī	Objective Questions (MCC only withthe correct answer)	Q	S	ubjective (Quest	ions	
			No of question tobe set	To tal M a rk s	No of questionto be set	To answer		ks per stion	Total Marks

Α	1,2,3,	10	18	3	2	4	52
В	•	10	10	4	3	7	
	4,5, 6, 7, 8						

- Only multiple choice type question (MCQ) with one correct answer areto be set in the objective part.
- $\ \square$ Specific instruction to the students to maintain the order in answering objective questions should be given on top of the questionpaper.

		anking and Financial Services ment & Ethical and professionalstand	ards				
Course Code: BE	BABFS604 - B	Semester: 6					
Duration: 30		Maximum Marks: 100					
Teaching Scheme)	Examination Scheme					
Theory: 5 hours pe	er week	End Semester Exam: 70					
Tutorial:1 hours pe	er week	Teacher's Assessment: 10					
Practical:		Internal Assessment: 20					
Credit: 6		Practical Sessional internal contevaluation:	Practical Sessional internal continuous evaluation:				
		Practical Sessional external examination:					
Aim:							
SI. No.							
1	Appreciate the and profession	need for Professional Skills & Ethical al standards					
Objective: SI. No. 1	Demonstrating professional sta	Professional Skills & Ethical and and and					
Pre-Requisite: SI. No.	Basic knowled	ge of Economics					
•	Basis intermed	90 01 200110111100					
Contents	1		Hrs./w				
Chapter	Name of the T	opic	Hour s	Marks			
01 Ethics and Trust in the Investment Profession	ChallenThe Implies InvestmEthical	and Professionalism ages to Ethical Conduct portance of Ethical Conductin the nent Industry vs. Legal Standards Decision-Making	4	9			

	Applying the France	nework		
02 Code of Ethics and Standards of Professional Conduct		and integrity ofthe capita and employers rsis and s	al 4	9
03 Professionalism	Knowledge of theIndependence arMisrepresentatioMisconduct	4	9	
04 Integrity of Capital Markets	Material Non-pub Market Manipula	4	9	
05 Duties to Clients	 Loyalty, Prudenc Fair Dealing Suitability Performance Prese Preservation of Co 	4	9	
06 Duties to Employers	LoyaltyAdditional ComperResponsibilities of	4	9	
07 Investment Analysis, Recommendation s, and Actions	 Diligence and Re Communication wi Prospective Clients Record Retention 	th Clients and	4	9
08 Conflicts of Interest	Disclosure of ConfPriority of TransactReferral Fees		2	7
	Sub Total:		30	70
	Internal Assessment Exa Preparation of Semester			30
	Total:			100
List of Books Text Books:	,		,	-
Name of Author	Title of the Book	Edition/ISSN/ISB N	Name of Publishe	
Havels Learning System	Ethical and Professional Standards, Quantitative Methods & Economics	2019 / 978- 1671355217	Havels Le System	earning

Reference	e Books:	1					
	ester Exam tted-3hrs.	ination Schem	е.		Maximum	Marks-70.	
Group	Unit	Objective Questions (MCQ only wit the correct answer)	th	Subjective Questions			
		No of question to be set	Total Marks	No of questionto be set	To answer	Marks per question	Total Marks
A	1,2,3, 4	10	18	3	2	4	52
В	4,5, 6, 7, 8	10		4	3		
obj □ Spe sho	ly multiple ch ective part. ecific instruct	ion to the studen	ts to maint				

Subject: Alternative	ve Investments	Banking and Financial Services					
Course Code: Bl	BABFS604 - C	Semester: 6					
Duration: 30		Maximum Marks: 100					
Teaching Scheme	е	Examination Scheme					
Theory: 5 hours po		End Semester Exam: 70					
Tutorial:1 hours p	oer week	Teacher's Assessment: 10					
Practical:		Internal Assessment: 20					
Credit: 6		Practical Sessional internal continevaluation:	nuous				
		Practical Sessional external examination:					
Aim:							
SI. No.	_						
1	Appreciate the	e need for Alternative Investments					
Objective:							
SI. No.							
1	Demonstrating professional s	g Professional Skills & Ethical and tandards					
Pre-Requisite:							
1	Basic knowled	lge of Economics					
Contents			Hrs./w	eek			
Chapter	Name of the	Горіс	Hour	Marks			
O1 What Is an Alternative Investment?	Exclus Alterr Inclusion Struct Investr Investr Investr Investr Investr Investr Investr Investr	native Investments by on tures among Alternative ments tments Are Distinguished byReturn cteristics tments Are Distinguished byMethods lysis tments Are Distinguished byOther	6	14			

	Instruments, Performance, Benchmarks, and Strategies				
H. Kent Baker, Greg Filbeck	Alternative Investments:	2013 / 978-1- 118-24112-7	Wiley		
Name of Author	Title of the Book	Edition/ISSN/ISB N	Name of Publishe	lame of the Publisher	
List of Books Text Books:			,	•	
	Total:			100	
	Internal Assessment Exa Preparation of Semester		30		
	Sub Total:	30	70		
— 1)	Equity Types of PrDebt Types of Pr				
06 Private Equity	Introduction to Pr Equity Types of F	6	14		
	Relative Value HEquity Hedge Fu				
	Event-Driven He Deletive Value III				
05 Hedge Funds	Structure of the FMacro and Mana	6	14		
	Real Estate Equi				
	Operationally IntoLiquid and Fixed				
	 Commodities: Ap Evidence 				
04 Neal Assets	Natural ResourceCommodity Forw	0	14		
04 Real Assets			6	14	
	Problems with InDistribution of Ca	ternal Rate ofReturn			
Foundations	Principal Internal Rate of F				
Quantitative	Return and RateReturns Based o		'		
Investments 03	 Regulatory Envir Liquid Alternative Taxation 	e Investments	6	14	
Environment of Alternative	Financial Markets				
02 The	The Participants		6	14	

<u> </u>							
Reference						_	
Stuart R. Veale		The Investor's Guidebook to Alternative Investments: The Role of Alternative Investments in Portfolio Design		2013 / 978- 0735205307		Penguin	
End Semester Examination Scheme. Maximum Marks-70. Time allotted-3hrs.							
Group	Unit	Objective Questions (MCQ only withthe correct answer)		Subjective Questions			
		No of question to be set	Total Marks	No of questionto be set	To answer	Marks per question	Total Marks
Α _	1,2,3, 4	10	18	3	2	4	52
В	4,5, 6, 7, 8	10		4	3		
obje	ective part.	on to the students	, ,				